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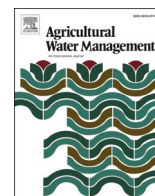
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# Are the C-band backscattering coefficient and interferometric coherence suitable substitutes of NDVI for the monitoring of the FAO-56 crop coefficient?

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## ABSTRACT

Rationalizing the use of agricultural water is a key issue in semi-arid areas that face more and more water shortages while food security is already threatened by the increasing population. The FAO-56 approach has been developed to estimate the crop water requirement. It relies on an accurate estimation of the “basal crop coefficient”  $K_{cb-act}$  that has been shown to be closely related to NDVI. Nevertheless, optical data can be inoperant in case of persistent cloud cover. Within this context, the objective of this study is to assess the potentiality of the all-weather C-band Sentinel-1 radar observations available with 6-day revisit time at the field scale. To this end, the empirical relationships between  $K_{cb-act}$ , on one hand, and the interferometric coherence at VV ( $\rho_{VV}$ ) and VH ( $\rho_{VH}$ ) polarizations and the polarization ratio, on the other hand, were assessed on two wheat fields during two crop seasons and compared to the classical  $K_{cb-act}$ -NDVI method. It is demonstrated that while good statistical metrics are obviously obtained between  $K_{cb-act}$  and NDVI derived from Sentinel-2 ( $R = 0.77/0.88$  and  $RMSE = 0.14/0.15$  for Field 1/Field 2), close results are highlighted with radar data. The best metrics are found with  $\rho_{VV}$ :  $R = 0.76$  and  $0.77$  and  $RMSE = 0.18$  and  $0.28$  for Field 1 and Field 2, respectively. Using the calibrated relationships on one season of Field 1, reasonable estimates of  $ET_{c-act}$  was found on Field 1 ( $R = 0.70$ ,  $RMSE = 0.75$  mm/day and bias =  $-0.18$  mm/days using  $K_{cb-act} \cdot \rho_{VV}$ ). By contrast, a significant overestimations is highlighted both with  $\rho_{VV}$  (bias =  $0.73$  mm/day) and NDVI (bias =  $1.46$  mm/day) over Field 2. Interestingly, the  $K_{cb-act} \cdot \rho_{VV}$  relationship is more consistent in the estimation of  $ET_{c-act}$  when changing from one plot to another. These outcomes open new perspectives for the estimation of  $ET_{c-act}$  from radar data as a potential substitute of NDVI in case of persistent cloud cover.

## 1. Introduction

The global water demand is dominated by the irrigation sector that uses up to 90 % of available water in semi-arid catchments (Anapalli et al., 2020; Jarlan et al., 2015; Zhang et al., 2017). A rationale and sustainable use of the already scarce water resources in this region is becoming essential. Anticipation and efficient management of irrigation is also fundamental to ensure the global food security (IPCC, 2019; MedECC, 2020). This management is mainly based on the

characterization of soil and vegetation water conditions that govern the use of water resources and this requires the estimation of crop water requirements which is represented by the evapotranspiration of the vegetation cover (ET).

The accurate estimation of ET constitutes the focus of several applications, starting from meteorology, since ET represents the process that transfers water from soil-plant to atmosphere (Allen et al., 2007; Gibelin, 2007; Norman et al., 1995; Soer, 1980), to agronomy, where ET defines the water requirements of the crop (Feng et al., 2019; Xiang

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et al., 2020; Yao et al., 2020). ET estimation can be done using in situ measurements such as the eddy covariance system and the lysimeters. Such techniques provide reliable estimates but they remain local and expensive to implement (Allen et al., 2011). Besides direct measurements, ET is computed using land surface models. Various types of models exist in the literature with a wide variety of theoretical background and required-input variables and can be divided into models based on resolving the energy balance and/or the water balance with different level of complexity (Ait Hssaine et al., 2021, 2018; Allen et al., 2011; Annandale et al., 1999; Bigeard et al., 2019; Courault et al., 2005; Diarra et al., 2017; Elfarkh et al., 2022; Jarlan et al., 2021; Subedi and Chávez, 2015; Zhang et al., 2016). The FAO-56 approach is a widely deployed model in the operational tools for irrigation management because it is easy to implement through a limited number of inputs (Allen et al., 1998). It was applied for several type of vegetation and has demonstrated accurate estimation over a wide range of climate and growth conditions around the world (Duchemin et al., 2006; Er-Raki et al., 2007; Pereira et al., 2021). It consists in multiplying the reference evapotranspiration ( $ET_0$ ) of a well-irrigated grass derived from simple meteorological variables, by an empirical coefficient called the crop coefficient  $K_c$  that reflects the actual condition of the considered plant. Under this form, the determination of  $K_c$  is sufficient to obtain an estimate of the amount of water needed by the plant to preserve a good yield while avoiding waste of water (Pereira et al., 2021).

$K_c$  varies throughout the agricultural season because of the phenological stages specific water demand and the changes of the aerodynamic and the surface resistances (Allen et al., 2011; Pereira et al., 2021). For annual crops,  $K_c$  is assumed to have a trapezoidal shape with four linear segments representing four main growing stages and subsequently three  $K_c$  values are required to obtain the whole growing season evolution. FAO-56 paper provides a large database of these values for a wide range of crops, and climates. However, previous work has demonstrated strong discrepancies between locally measured and the FAO tabulated values (Anapalli et al., 2020; Er-Raki et al., 2013; Howell et al., 1995; Irmak et al., 2013; López-Urrea et al., 2016; Pereira et al., 2021; Sánchez et al., 2015). Indeed,  $K_c$  is highly affected by several factors such as irrigation technique, crop cover fraction, agricultural practices, length of the growing season and local climate.  $K_c$  values and the duration of the different growth stages should thus be adjusted to reflect the actual condition of the considered crop (Allen et al., 1998; González-Dugo and Mateos, 2008; Er-Raki et al., 2008; Pôças et al., 2020).

Because local calibration of  $K_c$  requires costly and time-consuming installations, remote sensing and, in particular, vegetation indices derived from optical data have been considered to exploit the high sensitivity of  $K_c$  to the vegetation cover fraction and to the Leaf Area Index (LAI) since the 1980s (Bausch and Neale, 1987; Diarra et al., 2017; Er-Raki et al., 2013; Wiegand et al., 1991). Several vegetation indices have been assessed (see Pôças et al., 2020 for a review) but the most widely used remains the NDVI (Duchemin et al., 2006; Er-Raki et al., 2013; French et al., 2020; Glenn et al., 2011; Hunsaker et al., 2005; Pereira et al., 2021; Pôças et al., 2020). Experience has shown that there is no general scientific framework that defines the nature of the relationship between  $K_c$  and NDVI as both linear (Bausch, 1993; Duchemin et al., 2006; Gontia and Tiwari, 2010; Hunsaker et al., 2003; Kamble et al., 2013) and non-linear relationships (Alam et al., 2018; Er-Raki et al., 2013; Pôças et al., 2020) have been established. Several operational tools based on  $K_c$ -NDVI relationships have already been developed so far: the Satellite Monitoring of IRrigation (SAMIR), a software package developed to estimate ET and spatialized irrigation water budget over large areas (Le Page et al., 2014; Simonneaux et al., 2009) that is part of the MODSPA modeling platform developed at CESBIO (<http://osr-cesbio.ups-tlse.fr/Satirr/>); the SatIrr tool for irrigation scheduling at the field scale. Pôças et al. (2020) also provided a number of websites for  $K_c$  mapping over several countries to support irrigation management.

While good estimates of evapotranspiration were obtained based on these  $K_c$ -NDVI relationships, optical data may be hampered by atmospheric conditions leading to images unavailability during long periods that could be problematic in cloudy regions or for winter crop monitoring. Indeed, the SWOT analysis (Strengths, Weaknesses, Opportunities and Threats) of the FAO-56 approach developed by Pôças et al. (2020) has clearly highlighted cloud cover as a threat to the application of the method when optical indices are used to derive  $K_c$ . Within this context, the main objective of this work is to assess the potentialities of all weather C-band radar data as a substitute to vegetation indices for  $K_c$  estimates from optical remote sensing.

Over the last decade, radar (or SAR for Synthetic Aperture Radar) data have become increasingly used for vegetation monitoring at the field scale mainly with the launch of the satellites Sentinel-1A in 2014 and 1B in 2016 as the main driver. Although C-band radar signals (wavelengths between 3.75 and 7.5 cm) provide a complex mix of ground and vegetation contributions, several authors have proposed variables derived from the signal intensity and phase that can be directly related to vegetation variables such as biomass, crop height, and cover fraction (Gherboudj et al., 2011; Greifeneder et al., 2018; Jacome et al., 2013; Li and Wang, 2018; Mattia et al., 2003; Ouaadi et al., 2020, 2021a; Srivastava et al., 2015; Veloso et al., 2017). In particular, while the radar backscattering coefficient ( $\sigma^0$ ), a measure of the backscattered signal intensity, is sensitive to both soil and vegetation variables, the polarization ratio  $PR = \sigma_{VH}^0 / \sigma_{VV}^0$ , where  $\sigma_{VH}^0$  and  $\sigma_{VV}^0$  stand for the backscattering coefficient at cross- (VH) and co- (VV) polarization respectively, was introduced to reduce the effects of soil and soil-vegetation interaction. Indeed, the PR has been shown to be more stable in time than the backscattering coefficient and follows the evolution of biomass during the agricultural season (Ouaadi et al., 2021a; Veloso et al., 2017). This ratio is recently used by Chintala et al. (2022) to estimate  $K_c$  over rice, sugarcane and vegetables. Their investigation was directed towards establishing a linear relationship between  $K_c$  and PR but poor correlations were obtained (correlations range from 0.01 to 0.31). Beside the signal intensity, the phase information acquired by radar sensors has also been shown to be related to the crop cycle through the interferometric coherence ( $\rho$ ).  $\rho$  is a measure of the phase stability. It can be computed between: (i) two images taken by two antennas simultaneously from two different angles that overlook the same scene or; (ii) two images acquired by the same sensor over an orbit with exact repetition but taken at different times (Coltelli et al., 1996) such as for Sentinel-1 data (Frison and Lardeux, 2018). If the characteristics of the scatterers including both geometric (at the scale of the radar wavelength) and dielectric properties within the image does not change,  $\rho$  is equal to 1 while if the characteristics changes between two acquisitions a drastic drop of  $\rho$  can be observed. In particular for crops, vegetation growth and movement of the scatterers due to weather conditions, such as wind and rain, systematically causes  $\rho$  to drop (Frison et al., 2018). With the high temporal repetitivity provided by Sentinel-1 (6 days), research has been conducted to investigate and understand the behavior of  $\rho$  over annual crops based on the encouraging results of some early studies (Blaes and Defourny, 2003; Engdahl et al., 2001; Wegmuller and Werner, 1997). In particular,  $\rho$  has been used as complementary information to optical data for crop mapping and classification (Busquier et al., 2020; Mattia et al., 2015; Mestre-Quereda et al., 2020). In addition, strong relationships were recently highlighted between  $\rho$  and several vegetation variables, namely biomass, leaf area index, crop height and cover fraction by Ouaadi et al., (2020, 2021a).

Within this context, the objective of this work is to assess the potential of radar observations to estimate the FAO-56 crop coefficient as a surrogate to the classically used NDVI derived from optical data. To this end, two variables derived from Sentinel-1 data are investigated, namely PR and  $\rho$  at VV and VH polarizations. The study is carried out over 4 winter wheat seasons in Morocco. The following section presents the study site and the experimental data. Section 3 deals with the

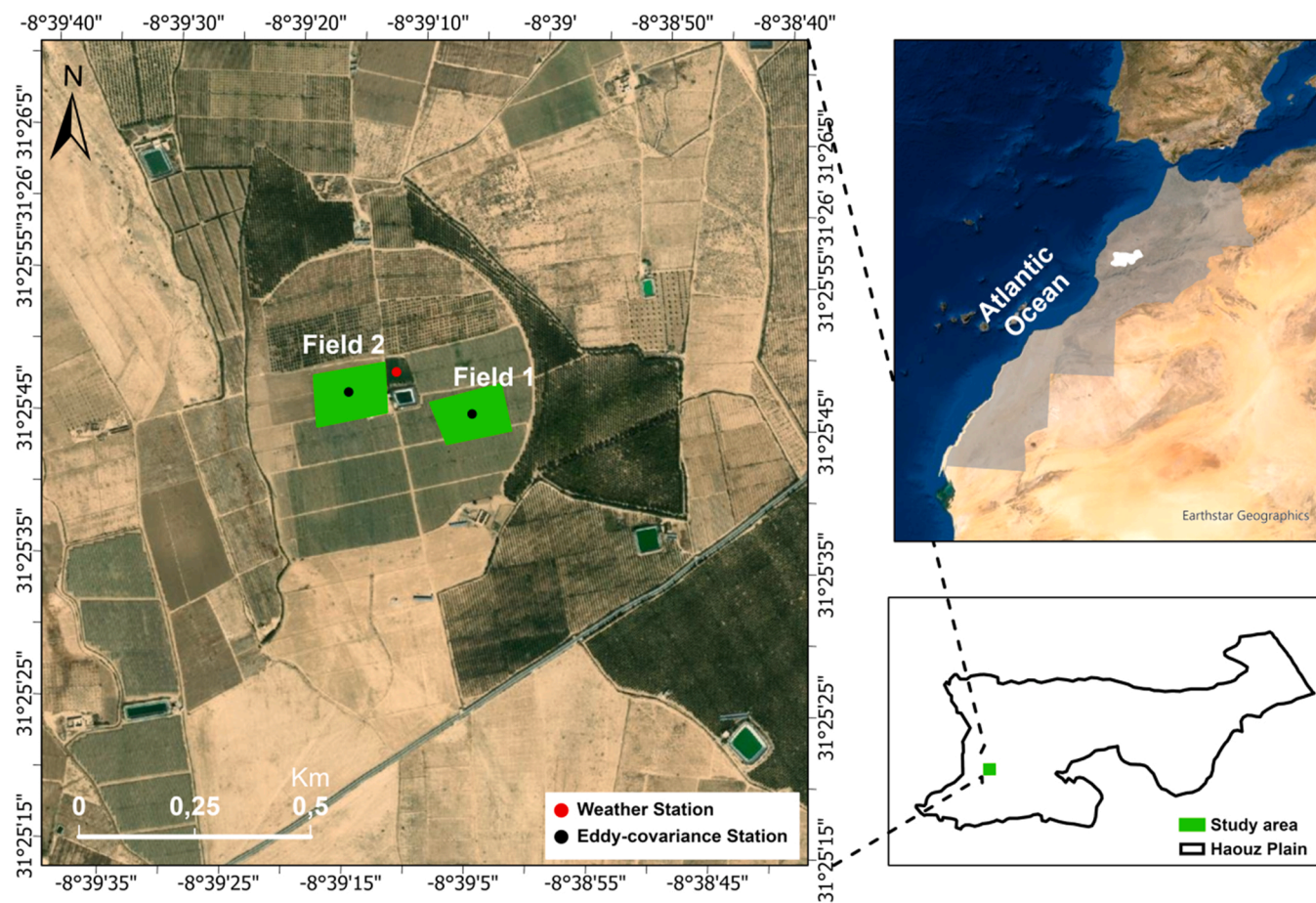


Fig. 1. Location of the monitored fields, weather and Eddy-covariance stations (left map) in the Haouz plain in central Morocco (right maps).

presentation of the results and it is organized as follows: (1) the time series of  $K_c$  derived from the eddy covariance system for the four seasons are presented; (2) the relationships between  $K_c$  and the radar variables are investigated and compared to  $K_c$ -NDVI relationship; (3) the calibration and validation of the  $K_c$  retrieved from the different variables are evaluated; (4) the ET derived from the estimated  $K_c$  over the four seasons is discussed. The last section is devoted to drawing conclusions.

## 2. Materials and methods

### 2.1. Study area description

The study area is located on a private farm in west-central Morocco in the Marrakech-Safi region, in one of the most important agricultural plains of the country named the Haouz plain (Fig. 1) characterized by a semi-arid climate. The air temperature is variable throughout the year, ranging from a hot summer with a daily average of about 35 °C in July-August to a cold winter with an average of 5 °C in January (Abourida et al., 2008). The average air humidity is about 50 % and the reference evapotranspiration  $ET_0$  is about 1600 mm/year (Jarlan et al., 2015), which far exceeds the annual precipitation amount of 250 mm/year on average. Cereals, mainly wheat, represent the major portion of the irrigated and non-irrigated agricultural production of the plain. They are sown in winter when 85 % of the rainfall falls between October and April.

This study is conducted on two winter wheat fields monitored during two consecutive crop seasons 2016–2017 and 2017–2018. Fig. 1 illustrates the location of the fields in the Haouz plain. The soil texture is a mixture of 37.5 % clay and 32.5 % sand. The fields are drip irrigated and cover an area of 1.5 ha each, sown and harvested on the same dates. For

Table 1

Main growth stages starting dates of wheat crop during the 2016–2017 and 2017–2018 growing seasons.

	2016–2017		2017–2018	
	Date	GDD (°C)	Date	GDD (°C)
Emergence	05/12/2016	93 <sup>a</sup>	08/12/2017	151 <sup>a</sup>
Tillering	20/12/2016	174	28/12/2017	181
Extension	20/01/2017	–	25/01/2018	295
Heading	10/03/2017	620	18/03/2018	567
Senescence	07/04/2017	424	20/04/2018	469

<sup>a</sup> GDD computed between sowing and emergence dates. The GDD value between tillering and extension of the 2016–2017 season is not available due to the unavailability of air temperature data between 01/12/2016 and 08/01/2017.

the two monitored 2016–2017 and 2017–2018 seasons, the agricultural season starts with the sowing of wheat in late November (27/11/2016 and 25/11/2017) until the harvest on 16/05/2017 and 08/06/2018, respectively. The fields are sown by the Karim variety using an automatic seed drill with a distance of 15 cm between the sowing lines. In the 2016–2017 season, a stem density campaign conducted on March 28th resulted of an average of 416 and 504 stems/m<sup>2</sup> over Field 1 and Field 2, respectively. Unfortunately, the accurate value of the field density is not determined for the 2017–2018 season but the same tools were used for seeding resulting probably in seed densities of the same order of value. An estimation of the main crop growth stages starting dates reported during the field campaigns from visual inspection are provided in Table 1. The GDD (Growing degree days) corresponding to these dates is also provided in °C for the sake of information (calculated by accumulation of daily average temperatures). The fields are irrigated at a rate of

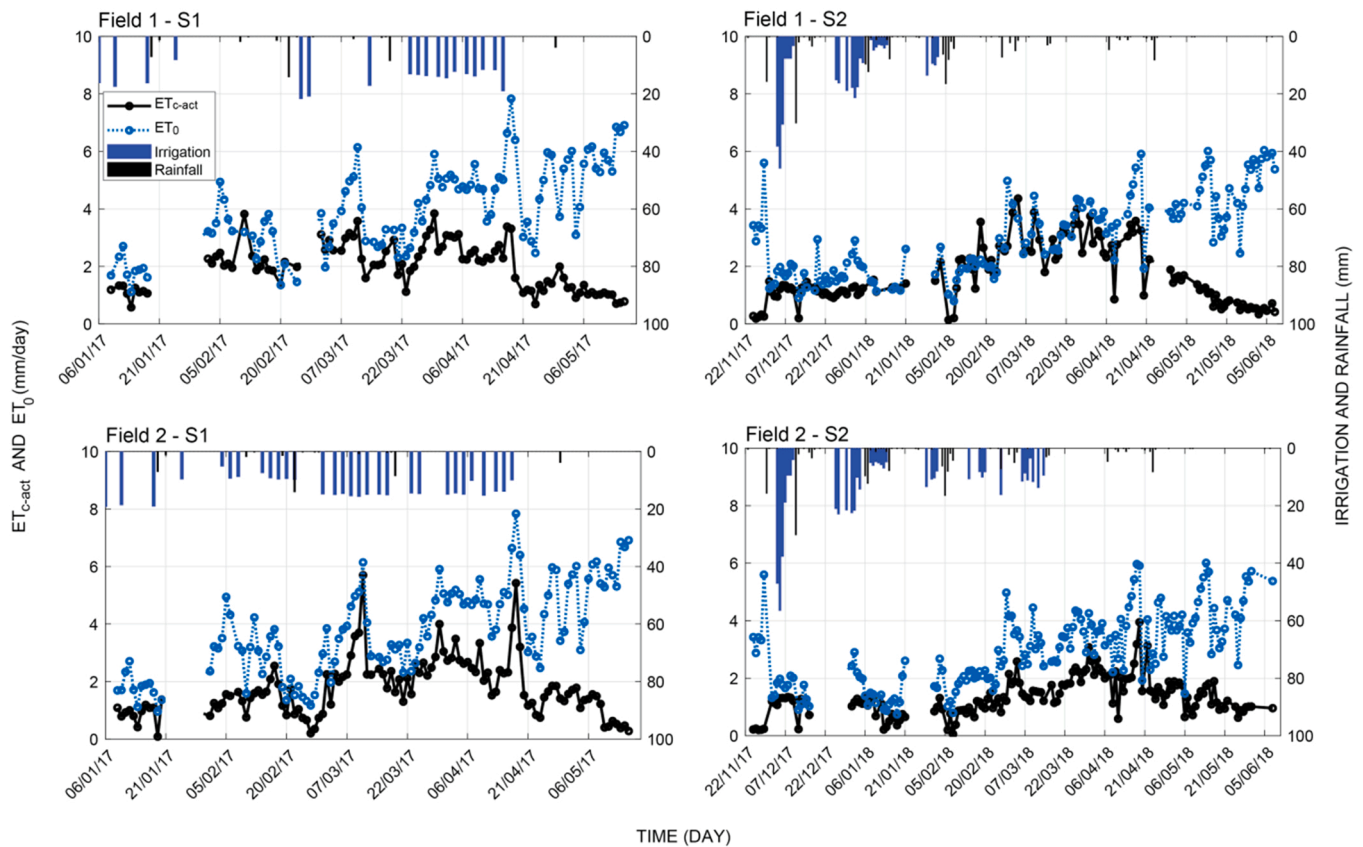


Fig. 2. Time series of the measured evapotranspiration ( $ET_{c-act}$ ) and reference evapotranspiration ( $ET_0$ ) over Field 1 and Field 2 and during the two agricultural seasons S1 (2016–2017) and S2 (2017–2018). The precipitation and irrigation amounts are also displayed.

12.5 mm per event and the irrigation scheduling and amounts are recorded (Ouaadi et al., 2021b).

## 2.2. In situ measurements: weather and Eddy-covariance stations

In the vicinity of the study sites, a weather station was installed over an irrigated alfalfa field (Fig. 1). The station allow a half-hourly measurements of precipitations and other meteorological variables used for estimating reference evapotranspiration ( $ET_0$ ), namely solar radiation, wind speed and air temperature and relative humidity. Meteorological data were quality controlled to detect outliers following Boudhar (2009), Chaponnière et al. (2008) and Simonneaux et al. (2008).

To estimate continuous measurements of the actual crop evapotranspiration ( $ET_{c-act}$ ), two similar eddy covariance stations (Campbell Scientific Ltd) are installed at 2.58 m high in the center of each field, as shown in Fig. 1. Each station is composed of a CSAT3–3D sonic anemometer and a KH2O-Krypton hygrometer, allowing the measurement of the three components of wind speed and the rapid fluctuations of atmospheric water vapor, respectively. The sampled raw data at 20 Hz rate are used to calculate the half-hourly sensible (H) and latent heat (LE) fluxes using the 'ECpack' software to apply all required corrections for planar fit correction, humidity and oxygen (KH2O), frequency response for slow apparatus, and path length integration (Ait Hssaine et al., 2018; Rafi et al., 2019; Van Dijk et al., 2004). The measurements are representative of the field. To ensure that the flux contribution measured by the station is within the field, the calculation conducted for the study site conditions shows that 95 % of the flux information came from  $50 \times 32.5$  m (long x wide) for a mean wind speed of 1.95 m/s and a wind direction of  $58^\circ$  (Rafi et al., 2019). Finally, the daily evapotranspiration (mm/day) is obtained by cumulating the 48 daily values of LE measurements excluding the negative values, and then

converting from  $W/m^2$  to mm/day. The stations are also equipped with Kipp and Zonen CNR radiometers to measure the net radiation  $R_n$  and two HFT3-L heat flux plates installed at 5 cm depth to measure the soil heat flux ( $G$  is computed by a simple average). Considering each field and season separately, the energy balance closure is acceptable: the correlation coefficient ranges between 0.70 and 0.81 while the slope of the linear regression between  $R_n - G$  and  $H + LE$  is between 0.47 and 0.56. These statistical metrics are within the range found in the literature in particular concerning the slope (see Elfarkh et al., 2020; Liu et al., 2011; Wilson et al., 2002 among others). The lack of closure of eddy covariance measurements was largely discussed in the literature and could be related to a combination of several factors (see (Allen et al., 2011)) including (i) the attenuation of turbulent signals at sufficiently low or high frequencies (Moore, 1986); (ii) the source area of the  $R_n$  and  $G$  sensors that measure the available energy is very small compared to that of the Eddy covariance system which can be changed rapidly depending on wind speed and direction and surface conditions; (iii) the energy storage either in the vegetation (Scott et al., 2003) or in the layer between surface and the heat flux plate measurements that is not considered in the energy balance closure (Meyers et al., 2004); (iv) the time shift between the irradiation and the turbulent fluxes that can reach up to 30 min (Foken et al., 2001, 2006). The modeling studies most commonly force the closing of the surface energy balance to correct the systematic under-estimation of turbulent fluxes measurements in particular because the energy balance is closed for the land surface models. The most extensively used method for this purpose is the Bowen ratio approach (Twine et al., 2000). This method is closing the energy balance by scaling  $H$  and  $LE$  so that the sum equals  $R_n - G$ . Considering the low value of the energy balance closure, both raw  $LE$  data (i.e. without correction) and  $LE$  values corrected by the Bowen ration approach are considered in this study. More details on this data base

including meteorological and eddy covariance data can be found in Ait Hssaine et al. (2018, 2020) and Rafi et al. (2019) and part of the data set has been made available to the scientific community (Ouadi et al., 2021a).

Fig. 2 displays the time series of the raw measured  $ET_{c-act}$  and computed  $ET_0$  (see Section 2.3) over the two fields. For the sake of simplicity, the 2016–2017 season is designated by ‘S1’ and the 2017–2018 season by ‘S2’. The difference between  $ET_0$  and  $ET_{c-act}$  is significant during the growing season characterizing the high climatic demand of the semi-arid region.  $ET_0$  is higher in S1 than in S2 because of wetter conditions in the second season where the amount of rainfall recorded from sowing to harvest is 167 mm versus 70 mm during season S2 and season S1, respectively (Ouadi et al., 2021a). The general trend is an increase during the agricultural season, with minimum values occurring in December and January around 2 mm/day. This period corresponds to the start of the season,  $ET_0$  is higher than  $ET_{c-act}$  because most of  $ET_{c-act}$  comes from soil evaporation since canopy cover is very low (Allen et al., 1998), whereas  $ET_0$  is the ET of a well-developed grass and will therefore use more water for transpiration (Araya et al., 2011). The  $ET_{c-act}$  starts to increase with the development of the canopy with an average increase of 3 mm/day from the beginning of the season to full development. In addition to the higher seed density on Field 2 compared to Field 1, irrigation was stopped from 27/02/2017 to 14/03/2017 on Field 1 which led to a lower  $ET_{c-act}$  of 2.5 mm/day on this field compared to Field 2. Moreover,  $ET_{c-act}$  reached a maximum of 4.5 mm/day on Field 1 while the maximum on Field 2 is about 6 mm/day. The wetter 2017–2018 season contributed to the increase of the  $ET_{c-act}$  of Field 1 to reach higher values than the first season and joined the  $ET_0$  level in the mid-season stage. For Field 2, season S2 was affected by a particular growing condition (explained with more details in Ouadi et al., 2021a). The presence of dense weed in the field as well as strong winds that laid down the high stems in April may be the reason for the low values observed of  $ET_{c-act}$ .  $ET_{c-act}$  decreases obviously at the end of the season from the senescence phase onwards as the vegetation and the underlying soil dry out.

### 2.3. Actual single and basal crop coefficients

The reference evapotranspiration  $ET_0$  is the evapotranspiration from a well-watered grass that totally cover the soil with a uniform height of 0.12 m, albedo of 0.23 and a surface resistance of 70 s/m. It is a climatic variable that account only for the evaporating power of the atmosphere. According to the FAO-56 guidelines,  $ET_0$  is computed from the meteorological forcing using the Penman-Monteith equation:

$$ET_0 = \frac{0.408\Delta(R_n - G) + \gamma \frac{900}{T+273} u_2 (e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (1)$$

Where  $R_n$  (MJ/m<sup>2</sup>/day) is the net radiation at the crop surface and  $G$  (MJ/m<sup>2</sup>/day) is the conductive flux in the soil.  $u_2$  (m/s) is the wind speed at 2 m above the ground,  $T$  (°C) is the averaged air temperature at 2 m,  $\gamma$  (kPa/°C) is the psychrometric constant,  $e_s$  (kPa) and  $e_a$  (kPa) are the saturation and actual vapor pressures at 2 m, respectively.  $G$  is assumed to be equal to 0 for daily calculation while the other variables are computed using the daily meteorological forcing as in Allen et al. (1998).

The crop evapotranspiration  $ET_{c-act}$  is computed as introduced in the paper 56 of the FAO by Allen et al. (1998):

$$ET_{c-act} = K_{c-act} ET_0 \quad (2)$$

where  $K_{c-act}$  is the crop coefficient that account for the soil evaporation, plant transpiration and the effect of water stress via three coefficients:

$$K_{c-act} = K_s K_{cb-act} + K_e \quad (3)$$

where  $K_s$  is the water stress coefficient,  $K_{cb-act}$  is the basal crop coefficient

and  $K_e$  the evaporation coefficient.

This study focuses on the estimation of  $K_{cb-act}$  and  $ET_{c-act}$ . To do so, the following steps are implemented:

- i) The ratio of  $ET_{c-act}$  measurements to the  $ET_0$  (resulting in the crop coefficient  $K_{c-act}$ ) has been filtered from high evaporation and water stressed data so that the remaining data correspond to  $K_e \sim 0$  and  $K_s \sim 1$  and therefore approximate the basal crop coefficient  $K_{c-act} = K_{cb-act}$ . The method is adapted from Duchemin et al. (2006). First, an initial cleaning of the database was performed by eliminating days corresponding to watering events (irrigation and precipitation), as these generally correspond to an abrupt increase in  $K_{c-act}$  in response to increased evaporation (Torres and Calera, 2010). Specific concern is given thereafter to the beginning of the season since the soil is bare and consequently  $K_{c-act}$  is dominated by  $K_e$  when an irrigation/precipitation event occurs. For this period, corresponds to a cover fraction < 0.2, the 4 days following the watering event are eliminated from the data base (Duchemin et al., 2006). Later in the season,  $K_e$  is assumed to be neglected compared to  $K_{cb-act}$  as the vegetation is well developed and covers the soil (Allen et al., 1998; Duchemin et al., 2006). The effect of water stress can also affect the computation of the crop coefficient  $K_{cb-act}$  during the period of full development (Pôças et al., 2020). The water stressed data are characterized by a drop of  $K_{c-act}$  (Duchemin et al., 2006). In this study, the data lower than one standard deviation (of the mid-season period data average) is discarded. By this point, we assume that the remaining data correspond to  $K_{c-act} = K_{cb-act}$ .
- ii) The relationships between  $K_{cb-act}$  from one hand and  $\rho_{VV}$ ,  $\rho_{VH}$ , PR and NDVI from the other hand are investigated for both fields and both seasons.
- iii) Relationships are first established using data from 2017–2018 season (S1) over Field 1 and then used to derive  $K_{cb-act}$  and consequently  $ET_{c-act}$  over the other seasons/fields.

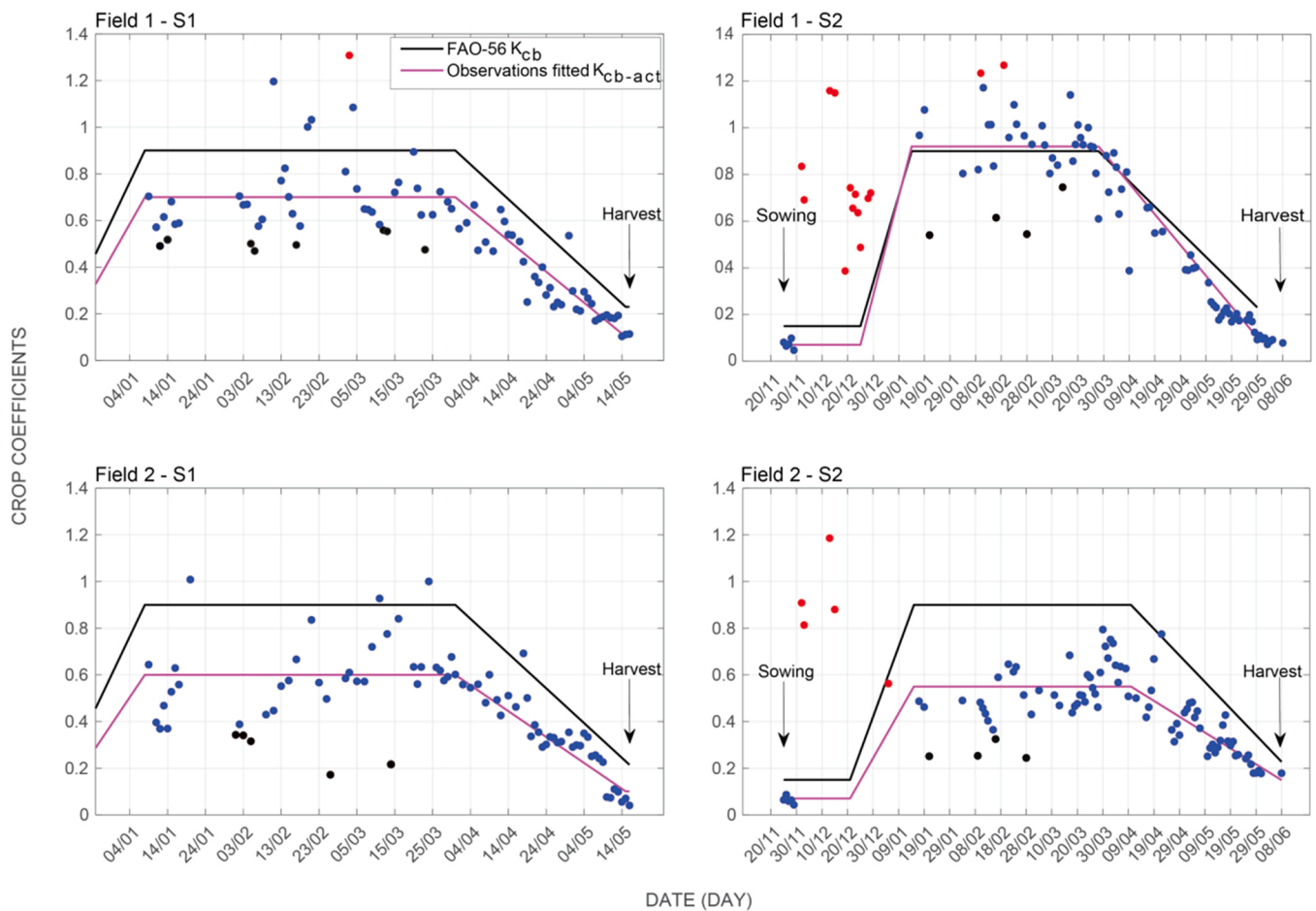
### 2.4. Satellite data

#### 2.4.1. Sentinel-1 data

Sentinel-1 is a constellation composed of two satellites, Sentinel-1A launched in 2014 and Sentinel-1B launched in 2016. Each satellite is equipped with a C-band SAR sensor with a frequency of 5.33 GHz. The two satellites map the entire world in 175 orbits with a revisit time of six days (Torres et al., 2012). The operational mode IW (Interferometric Wide-swath mode) allows the acquisition of data in dual polarization (VV and VH) with an azimuth resolution of 20 m and a ground range resolution of 5 m (European space agency, 2012).

The Sentinel-1 Data Hub website (<https://scihub.copernicus.eu>) gives free access to two types of products: (i) SLC products (Single Look Complex) which are raw images that contain the radar measurement as a complex number and; (ii) GRDH products (Ground Range Detected High resolution) which are multi looked (to reduce the speckle) and projected to ground range to have square pixels that contains only a measurement of the intensity of the measured signal. The descending relative orbit number 52 maps the study area at 06:30 UTC with an incidence angle of about 35.2° over both fields. GRD and SLC products are used to compute the backscattering coefficient and the interferometric coherence, respectively, as described in the following sections. The data availability is illustrated in Fig. A1 in the Appendix. Please note that the relationships between radar and vegetation variables are not shown in this paper, as they have been already studied in detail in Ouadi et al. (2021a). The reader is invited to consult this reference for more details on these relationships during the agricultural season.

**2.4.1.1. Backscattering coefficient.** The backscattering coefficient is computed from the GRDH products using the Orfeo toolbox (CNES,



**Fig. 3.** Time series of crop coefficient  $K_{c-act}$  over Field 1 and Field 2 for both seasons S1 and S2 (2016–2017 and 2017–2018, respectively). The red and black points correspond to points removed because of soil evaporation or vegetation water stress (see text for further details) and the remaining data correspond to  $K_{c-act} = K_{cb-act}$ . The  $K_{cb-act}$  segmented curve obtained from the observations (blue points) is plotted in magenta. The  $K_{cb}$  curve using the FAO-56 tabulated values is also plotted in black for comparison purposes.

2018) with a processing chain that consists of three modules applied to each image for each polarization (Frison and Lardeux, 2018): *Thermal noise removal*, *Calibration* and *Terrain correction*. The last aims to project the images on the surface of the Earth using the digital terrain model SRTM (Shuttle Radar Topography Mission) at 30 m resolution. The obtained products are the backscattering coefficient at VV ( $\sigma_{VV}^0$ ) and VH ( $\sigma_{VH}^0$ ) with a spatial resolution of 10 m. Finally, the radar backscattering coefficient is obtained from averaging all the pixels values within a field. Details of the processing steps are provided in Ouaadi et al. (2021a).

The polarization ratio (PR) is calculated as the ratio between  $\sigma_{VH}^0$  and  $\sigma_{VV}^0$  and then converted to dB for a better visualization of the data dynamics.

**2.4.1.2. Interferometric coherence.** The interferometric coherence ( $\rho$ ) is a complementary information to  $\sigma^0$  and well correlated with vegetation development. Since it contains the phase information, the SLC products allows computing  $\rho$  by exploiting the repeated orbits of Sentinel-1: 6 days between two consecutive acquisitions using Sentinel-1 A and B of the same orbit. The combination of the two successive images allows measuring the change occurred to the scene between these two dates. The result is a scalar ranging between 0 when there is no correlation between the two images and 1 when the correlation is perfect. Over an agricultural area, the main cause of  $\rho$  decrease is the temporal decorrelation caused by the change in orientation, size and position of the scatterers (canopy components). Indeed, it was found that the coherence is almost constant at high values (0.9 at VV) in summer when the soil is

bare (Ouaadi et al., 2020) while the natural movement of vegetation components (leaves, stems.) under the effect of wind and rainfall in addition to the change caused by the growth of vegetation induce a gradual decrease in  $\rho$  to reach levels down to 0.2 during the agricultural season. It is worth mentioning that soil moisture change has also been shown to impact  $\rho$  in some cases (De Zan et al., 2014; Morrison et al., 2011; Scott et al., 2017) and does not highlight high impact on others (Ouaadi et al., 2020).

The Sentinel SNAP application platform is used to calculate the interferometric coherence at VV ( $\rho_{VV}$ ) and VH ( $\rho_{VH}$ ) based on a five steps processing chain: *Apply-Orbit-file*, *Back-geocoding*, *Coherence*, *TOPSAR-Deburst* and *Terrain-correction*. As for  $\sigma^0$ ,  $\rho$  is obtained from averaging all the pixel values within a plot. For more details on the processing chain, the reader is referred to Ouaadi et al. (2021a).

**2.4.2. Sentinel-2 NDVI**

The Sentinel-2 is an optical constellation of two satellites Sentinel-2A launched on 2015 and Sentinel-2B launched on 2017, providing data on 13 spectral bands in the visible, near and medium infrared domains with a spatial resolution ranging from 10 to 60 m and a revisit time of 5 days. Over the study area, the data are atmospherically corrected using the MAJA chain developed at CESBIO (Hagolle et al., 2015). The products are freely distributed by the National Centre for Space Studies (CNES) via two platforms: PEPS (<https://peps.cnes.fr>) and Theia (<https://theia.cnes.fr>). Among the 45 available images over the two agricultural seasons, only 28 are free of clouds (less than 30 % of clouds) over the study

**Table 2**  
Default FAO-56 and adjusted lengths of the crop growth stages over Field 1 and Field 2 and both seasons S1 (2016–2017) and S2 (2017–2018).

	FAO-56	Field 1		Field 2	
		S1	S2	S1	S2
$L_{ini}$	30	20	30	20	26
$L_{dev}$	140	23	21	23	26
$L_{mid}$	40	81	72	81	84
$L_{late}$	30	47	62	47	60
Total	240	171	185	171	196

area (see data availability in Fig. A1 in the Appendix). The 28 images are downloaded and processed to extract the NDVI (using the red and near infrared bands: bands 4 and 8) and finally an average per field is computed.

### 3. Results

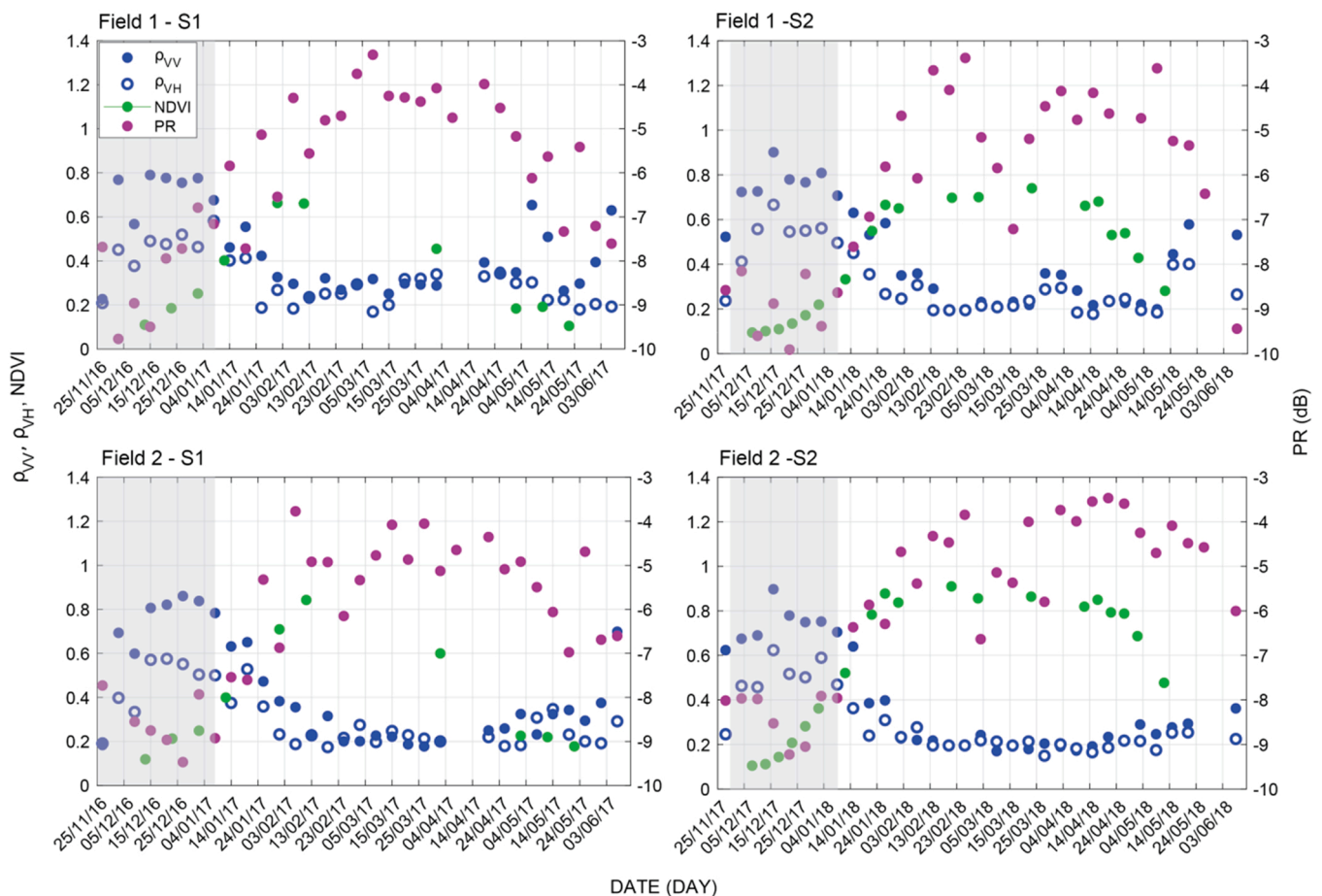
The first section is devoted to crop coefficients analysis over the agricultural season. The second section is dedicated to the investigation of the relationships between  $K_{cb-act}$  and remote sensing variables. Finally, the third section is dealing with the estimation of  $K_{cb-act}$  and  $ET_{c-act}$ . The raw LE data are used but the results of  $K_{cb-act}$  and  $ET_{c-act}$  estimation with corrected LE are also reported.

#### 3.1. Time series of the crop coefficients

Fig. 3 displays the time series of the crop coefficient obtained from

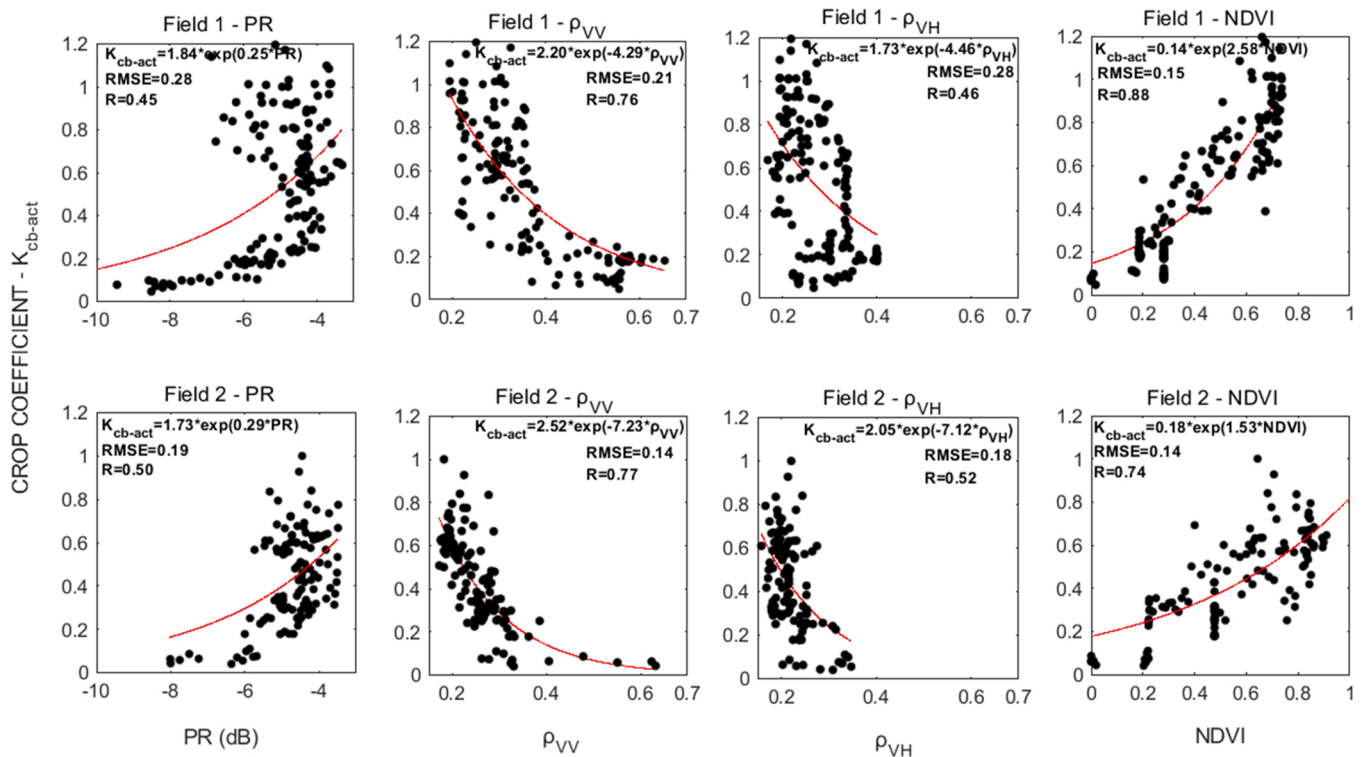
the measured  $ET_{c-act}$  over the two fields, during the two seasons S1 and S2. Sowing and harvest dates for each season are marked with arrows in the figure. For the season S1, data are available only from January 9.

The  $K_{c-act}$  shape is a trapezoidal shape with low values at the start of the season when the soil is almost bare, increasing values during the development stage, a plateau of maximum values during the full development and then the  $K_{c-act}$  decreases progressively at the end of the season with the senescence of the vegetation. The first values of  $K_{c-act}$  around the sowing dates are very low probably because the soil is almost dry at this time. Thereafter,  $K_{c-act}$  can reach high values in response to high evaporation (i.e. the red points in Fig. 3) because of rainfall and frequent irrigation (see Fig. 2 for rainfall and irrigation distribution) applied by the farmer to accelerate wheat emergence and development (Ouaadi et al., 2021b). Likewise, the  $K_{c-act}$  values corresponding to water stress during the period of full development are presented in black. The red and black points are removed and it is assumed that the remaining data correspond to  $K_s \sim 1$  and  $K_e \sim 0$  and therefore  $K_{c-act} = K_{cb-act}$ . Considering the mean value for each stage (initial  $K_{cb,init}$ , mid-season  $K_{cb,mid}$  and late season  $K_{cb,end}$ ), the  $K_{cb-act}$  segmented curve is constructed (magenta curve in Fig. 3) for each field and each season. For comparison purposes, the  $K_{cb}$  curve obtained using FAO-56 tabulated values, with crop stages duration adjusted based on the field observations and NDVI cycle is also displayed in black in Fig. 3. Table 2 reports the default FAO-56 and adjusted lengths of the crop growth stages (initial  $L_{init}$ , crop development  $L_{dev}$ , mid-season  $L_{mid}$  and late season  $L_{late}$ ). Significant discrepancies are observed between the tabulated FAO-56 values and the adjusted values for the fields. This highlights the need for local calibration of FAO-56 as reported by many authors (Er-Raki et al., 2008; Poças et al., 2020 among others, see Introduction).



**Fig. 4.** Time series of the remote sensing variables including optical NDVI and radar PR,  $\rho_{VV}$  and  $\rho_{VH}$  over Field 1 and Field 2 and both seasons S1 (2016–2017) and S2 (2017–2018). The shaded area represents the period when  $K_{c-act}$  points were removed due to soil evaporation (see red dots in Fig. 3).





**Fig. 5.** Relationships between the satellite indices PR,  $\rho_{VV}$ ,  $\rho_{VH}$  and NDVI with  $K_{cb-act}$  for the two wheat fields Field 1 and Field 2. Each subplot contains data of the two agricultural seasons S1 (2016–2017) and S2 (2017–2018).

A value of  $K_{cb,init} = 0.15$  is given in FAO-56 assuming that there is always some moisture in the soil, while in this study  $K_{cb,init}$  is  $\sim 0.07$  probably because the tilling is not deep and the soil surface is very dry (surface soil moisture average  $\sim 0.03 \text{ m}^3/\text{m}^3$  on 27/11/2017 over both fields). This is also the reason why the obtained  $K_{cb}$  at the end of the season (about 0.1–0.17) is lower than the FAO-56 value (0.23). For mid-season period, FAO-56  $K_{cb,mid}$  is equal to 0.9 which is close to the  $K_{cb,mid}$  obtained over Field 1 during season S2, while the values obtained are lower for Field 2 and Field 1 S1. This is related to the combined effect of the meteorological conditions with cropping system and vegetation physiological properties (see the Introduction section). Indeed, the average of  $K_{cb-act}$  reached at mid-season is different between the fields. During season S1, the average  $K_{cb,mid}$  for both fields are close with 0.7 over Field 1 and 0.6 over Field 2. By contrast, noticeable differences between the two fields are observed during season S2, with significantly lower values of  $K_{cb,mid}$  on Field 2. The higher  $K_{cb,mid}$  over Field 1 during S2 compared to S1 could be attributed to the wetter conditions of the 2017–2018 growing season compared to the 2016–2017 season, resulting in much more vegetation as illustrated by the difference in the amount of biomass between the two seasons presented in Ouaadi et al. (2021a). On the other hand, the low values of  $K_{cb,mid}$  on Field 2 are probably the result of the particular growing conditions of this field during S2 with a mixture of barley and wheat in addition to the high presence of adventices (see above). Indeed,  $ET_{c-act}$  is highly affected by the specific canopy characteristics (geometric and physiological) such as the leaf arrangement, the height of the vegetation and stomata properties (Araya et al., 2011).

The time series of PR,  $\rho_{VV}$ ,  $\rho_{VH}$  and NDVI over the four growing seasons are presented in Fig. 4. The evolution of these variables illustrates a seasonal evolution following the development of wheat. Early in the season, the values are low/high and start to increase/decrease with the development of the vegetation until a maximum/minimum value is reached in full development for NDVI/PR and interferometric coherences, respectively. With the onset of senescence, a progressive decreasing/increasing trend is observed until harvest. It can be pointed

out that, for low values of  $\rho$  ( $\leq 0.4$ ), corresponding to full development of vegetation,  $\rho_{VV}$  and  $\rho_{VH}$  are quite identical. However, for high values ( $\geq 0.4$ ), corresponding to low vegetation, i.e. when vegetation is at early stage of development or during senescence,  $\rho_{VV} \geq \rho_{VH}$ , traducing the higher sensitivity of VH polarization to small amounts of vegetation. It should be kept in mind, however, that high values of the interferometric coherence ( $> 0.5$ ) are more significant than the low values that are contaminated by noise.

### 3.2. Relationships between $K_{cb-act}$ and satellite variables

The relationships between  $K_{cb-act}$  and the different radar data, including PR,  $\rho_{VV}$ , and  $\rho_{VH}$  (with the same x-axis scale 0.15–0.7 for  $\rho_{VV}$ , and  $\rho_{VH}$ ) are presented in Fig. 5 for Field 1 and Field 2. The relationship between  $K_{cb-act}$  and NDVI is also displayed to compare the performance of the radar variables with this commonly used optical index. Using the complete wheat cycle from sowing to harvest, the best fit is found to be exponential between  $K_{cb-act}$  and all the satellite variables. The regression equation, R and RMSE calculated between the predicted variable using the fitted relationship (in red) and the observations are displayed in each subplot.

Results show that the best fitting is obtained between  $K_{cb-act}$  and  $\rho_{VV}$  (hereafter named  $K_{cb-act} - \rho_{VV}$ ) and between  $K_{cb-act}$  and NDVI ( $K_{cb-act} - NDVI$ ) regarding data from both fields. In contrast, the relationships are more scattered using  $\rho_{VH}$  ( $K_{cb-act} - \rho_{VH}$ ) and PR ( $K_{cb-act} - PR$ ). The problem is due to a significant dispersion of the data, in particular during the transition phase between the low/high plateaus values (see Fig. 4). PR was introduced to describe vegetation development while reducing the effect of soil variables on the backscattered signal, yet it seems to contain some of these effects. This may be related to a combined effect of wheat geometry and soil drying. After heading  $\sigma_{VH}^0$  is still high because of the volume contribution from the head layer while  $\sigma_{VV}^0$  is low and quite constant as the soil is dry. Consequently, the PR remained high late in the season.

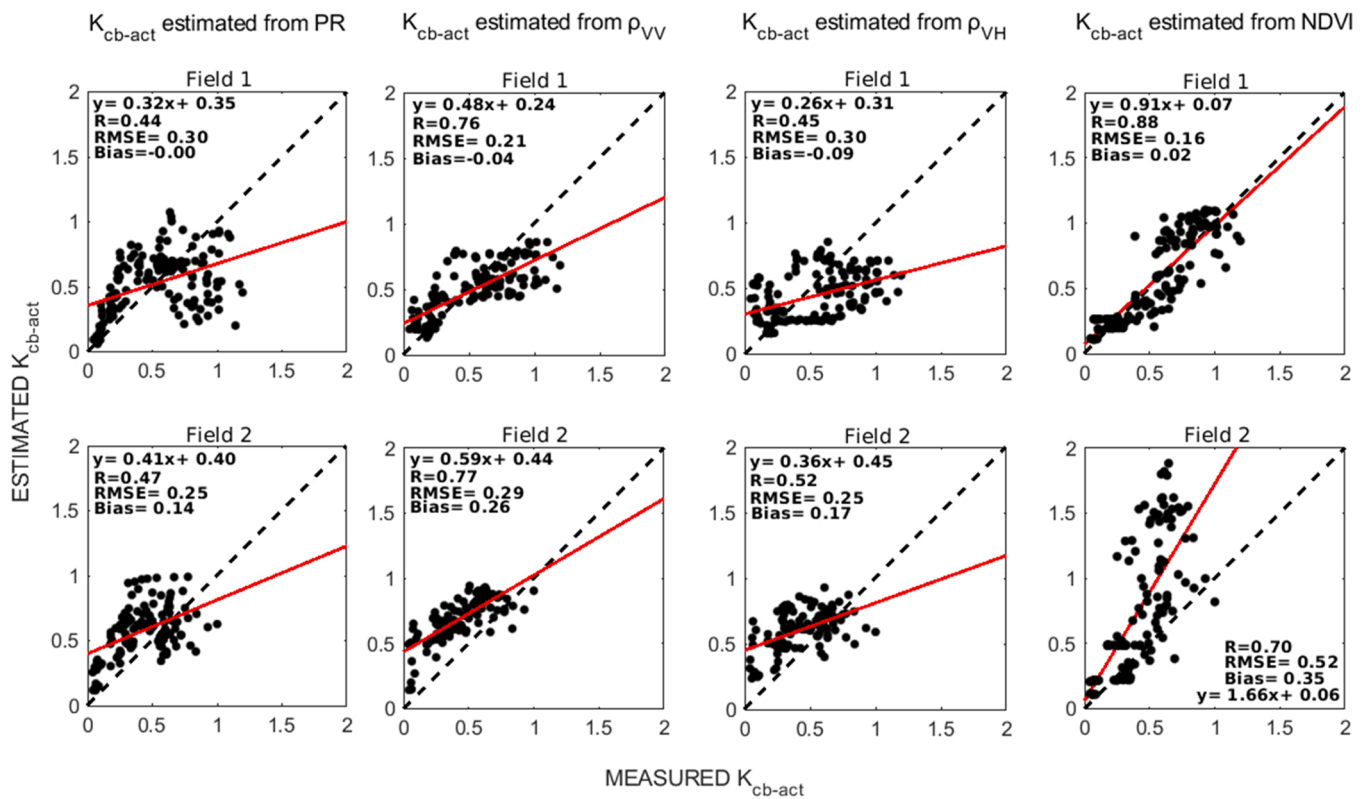


Fig. 6. Estimated versus measured  $K_{cb-act}$  over Field 1 and Field 2 using the relationships  $K_{cb-act}$  - PR,  $K_{cb-act}$  -  $\rho_{VV}$ ,  $K_{cb-act}$  -  $\rho_{VH}$  and  $K_{cb-act}$  - NDVI calibrated on Field 1 season 2017–2018 (S2).

The weak relationship with  $\rho_{VH}$  is not surprising due to the low overall values ( $\leq 0.4$ ) which are less significant than  $\rho_{VV}$  ( $\leq 0.65$ ). This is due to the highest sensitivity of VH polarization to low vegetation, leading to lowest coherence values at VH (Fig. 4). It is the reason why better relationship is observed for  $\rho_{VV}$  which show an amplitude of 0.45 ( $0.2 \leq \rho_{VV} \leq 0.65$ ). It is interesting to note that  $\rho_{VH}$  and  $\rho_{VV}$  (when  $\leq 0.35$ ) are less scattered for Field 2 than for Field 1. Indeed,  $K_{cb-act}$  is more scattered on Field 1, in particular, around mid-season when  $\rho_{VH}$  is at its lowest level ( $\rho_{VH} < 0.33$ ). In general, the statistical metrics using the radar variables are better for Field 2 than for Field 1 because of the scattering of  $K_{cb-act}$  around mid-season (Fig. 3). In contrast, the best metrics are obtained on Field 1 for  $K_{cb-act}$  - NDVI, which are also the best metrics obtained for the 8 subplots investigated in this study with an RMSE limited to 0.15. This  $K_{cb-act}$  - NDVI relationship is compared to similar relationships previously published: (1) Duchemin et al. (2006) have used a linear relationship:  $K_{cb-act} = 1.64 * NDVI - 0.14$ ; (2) Hunsaker et al. (2005) have fitted  $K_{cb-act}$  to NDVI using a third-order regression model:  $K_{cb-act} = 0.18 + 1.63NDVI_n - 2.57NDVI_n^2 + 1.93NDVI_n^3$  with  $NDVI_n = \frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}}$ ; (3) Er-Raki et al. (2007) derived  $K_{cb-act}$  from NDVI using an exponential relationship:  $K_{cb-act} = 1.07(1 - NDVI_m^{0.84/0.54})$  with  $NDVI_m = \frac{NDVI_{max} - NDVI}{NDVI_{max} - NDVI_{min}}$ . Fig. A2 displays our observations and the different relationships including ours in red over Field 1. The different relationships provide with quite close results, the closest being provided by Duchemin et al. (2006) for wheat fields also grown in the Tensift catchment near Marrakech with similar climate conditions. Considering all the results of this study,  $K_{cb-act}$  - NDVI provides good performance but the statistics of  $K_{cb-act}$  -  $\rho_{VV}$ , comparable with those of  $K_{cb-act}$  - NDVI, are also promising.

### 3.3. $K_{cb-act}$ and crop evapotranspiration estimation

In this section,  $K_{cb-act}$  is estimated from different  $K_{cb-act}$  - satellite

variables relationships, and then the estimated  $K_{cb-act}$  is used to calculate the  $ET_{c-act}$ . First, the data from one season (Field 1 season S2) was chosen to calibrate the 4 relationships (using the four variables PR,  $\rho_{VV}$ ,  $\rho_{VH}$  and NDVI). This relationship is then used to estimate  $K_{cb-act}$  over all fields/seasons. This season is chosen because the 2016–2017 season is not complete as previously mentioned. The results obtained are presented in Fig. 6 for both fields and both seasons.

As expected, the best results are obtained with  $K_{cb-act}$  - NDVI and  $K_{cb-act}$  -  $\rho_{VV}$ . Over Field 1, the retrieved  $K_{cb-act}$  from radar data is limited to almost 1 because of the quick saturation of the C-band data while the  $K_{cb-act}$  - NDVI can reach up to 1.2 and thus reproduce well the  $K_{cb-act}$ . This advantage is reflected on the good statistics on this field with  $R = 0.88$ , slope = 0.91, RMSE = 0.16 and a bias limited to 0.02. However, this can also be a drawback from another point of view, obviously when the canopy is very dense and consists of a mixture of several vegetation types as is the case in Field 2. On this field, a significant overestimation (up to  $K_{cb-act} = 2$ ) is observed with a reduction in statistical metrics to  $R = 0.70$ , slope = 1.66, RMSE = 0.52 and bias = 0.35. This can be explained by Fig. 4 and Fig. 3 where NDVI is higher in Field 2 than in Field 1 while  $K_{cb-act}$  is lower in Field 2 than in Field 1. In fact, the low  $K_{cb-act}$  values are obviously due to the lower  $ET_{c-act}$  of season S1 of Field 2 compared to Field 1. This is due to the presence of adventices with two possible scenarios: (i) adventices do not transpire much which leads to a reduction in  $ET_{c-act}$ ; (ii) adventices lead to a reduction in soil evaporation as they cover the entire soil. Indeed, the measured canopy cover ( $f_c$ , not shown) shows high values since the beginning of the season where  $f_c$  has reached 0.9 on 31/01/2018 on Field 2 versus 0.7 on Field 1 and had only reached 0.9 52 days later (13/03/2018). In contrast, the higher cover fraction of green vegetation (adventices and wheat) is obviously driven at a much higher NDVI than Field 1 and thus  $K_{cb-act}$  is overestimated.

In contrast, similar statistical metrics are obtained between Field 1 and Field 2 using the radar variables. The statistical metrics of  $K_{cb-act}$  -

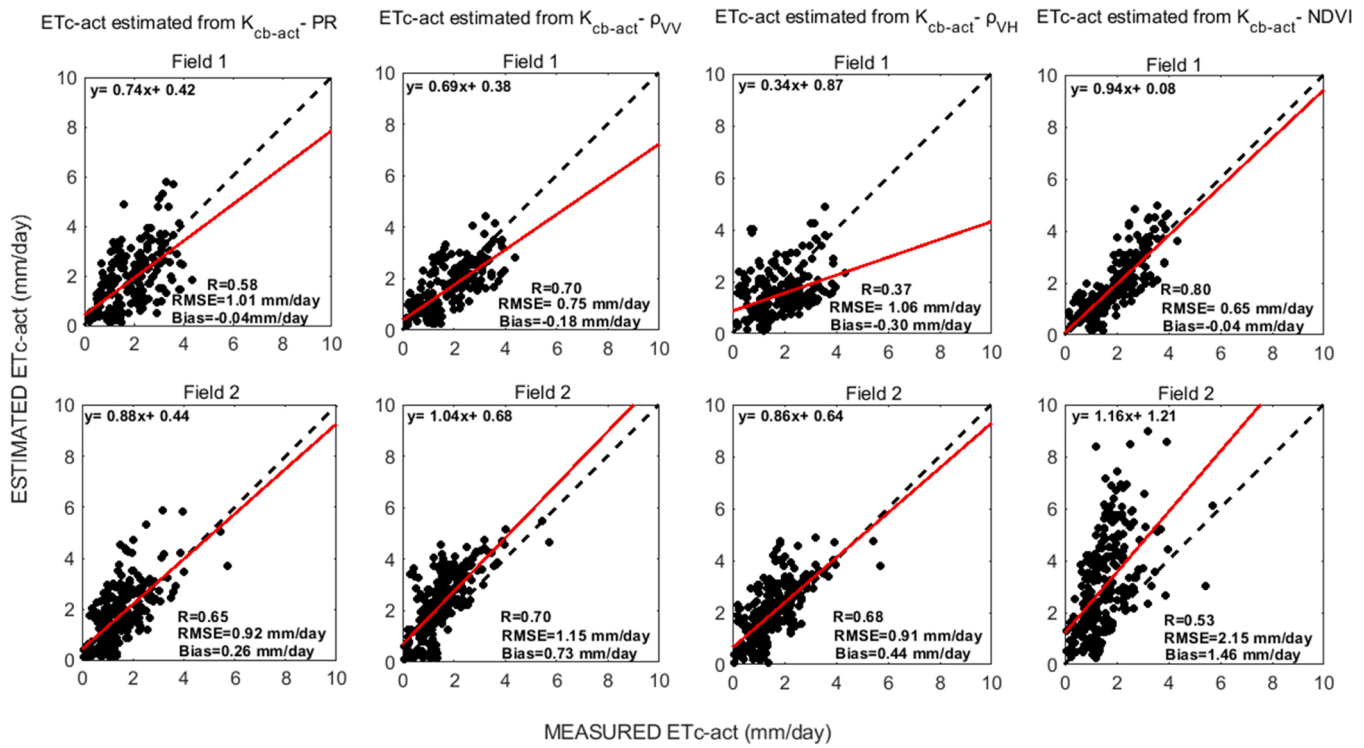


Fig. 7. Estimated versus measured  $ET_{c-act}$  over Field 1 and Field 2 using the different relationships  $K_{cb-act} - PR$ ,  $K_{cb-act} - \rho_{VV}$ ,  $K_{cb-act} - \rho_{VH}$  and  $K_{cb-act} - NDVI$ .

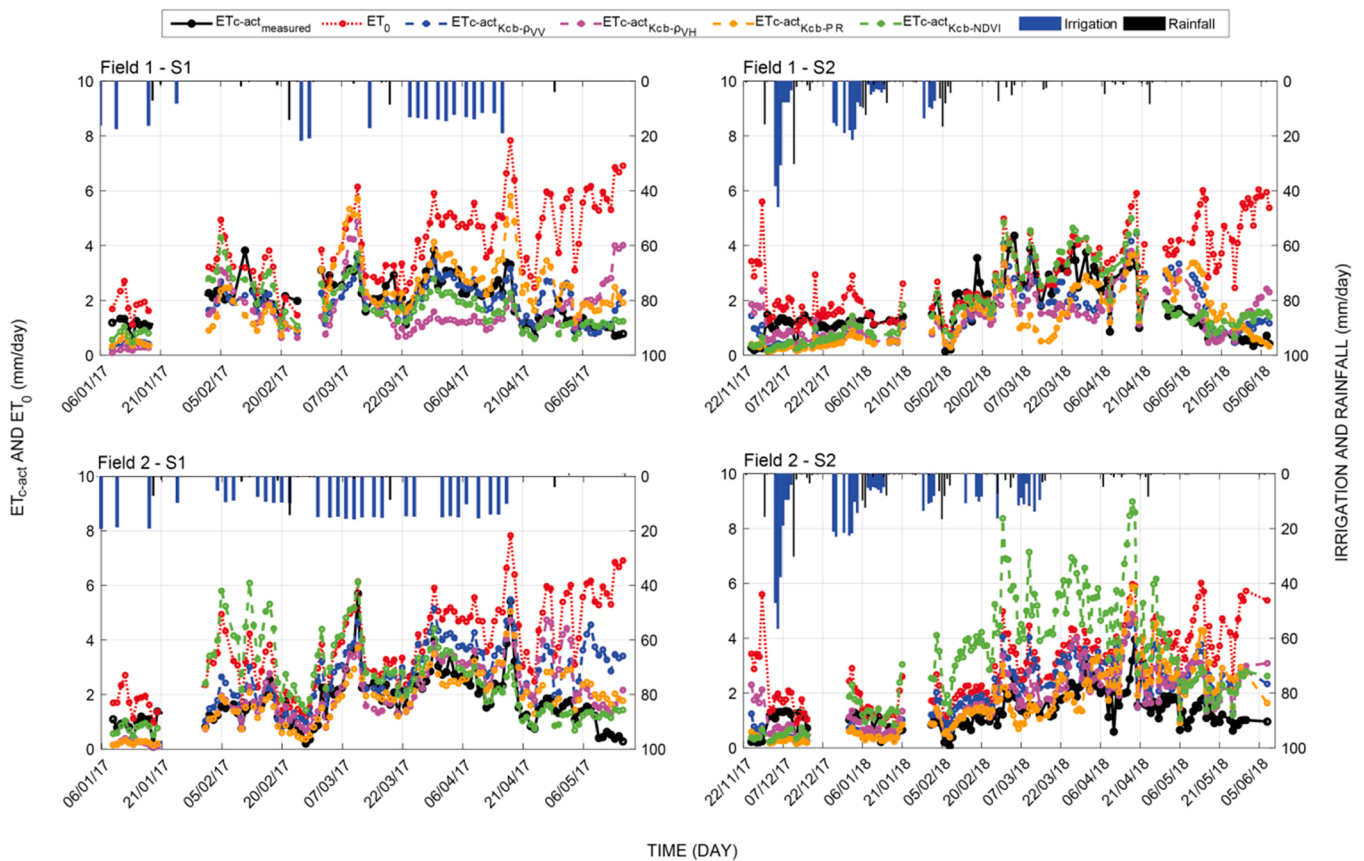


Fig. 8. Time series of estimated  $ET_{c-act}$  using  $K_{cb-act}$  derived from PR,  $\rho_{VV}$ ,  $\rho_{VH}$  and NDVI superimposed to measured  $ET_{c-act}$  and  $ET_0$  ( $K_{cb-act}$  is denoted by  $K_{cb}$  in the figure for simplification). Irrigation and precipitation amounts are also displayed.

$\rho_{VV}$  are lower than  $K_{cb-act} - NDVI$  for Field 1 but they are better for Field 2. In addition,  $K_{cb-act} - \rho_{VV}$  demonstrates a more stable performance between the two fields where  $R = 0.76$  and  $0.77$  for Field 1 and Field 2, respectively. Nevertheless, the bias is higher for Field 2, indicating a slight overestimation ( $y$ -intercept =  $0.44$ ). This was mainly attributed to the end of the season when the estimated  $K_{cb-act}$  does not decrease enough to reach the lowest measured  $K_{cb-act}$  values (not shown). The  $K_{cb-act} - \rho_{VH}$  and  $K_{cb-act} - PR$  provides with less accurate estimates with a better performance on Field 2 due to a lower scattering of  $K_{cb-act}$  on this field as discussed in the previous section (Fig. 5).

Using the estimated  $K_{cb-act}$ , the  $ET_{c-act}$  is then calculated and the results obtained for both fields are presented in Fig. 7. The outcomes with  $K_{cb-act} - NDVI$  and  $K_{cb-act} - \rho_{VV}$  are encouraging because of the good estimates of  $K_{cb-act}$  (Fig. 6). Consequently, similar comments can be drawn. The  $K_{cb-act} - NDVI$  has yielded very good estimate of  $ET_{c-act}$  over Field 1 with  $R = 0.80$  and  $RMSE = 0.65$  mm/day for  $ET_{c-act}$  values up to 4.5 mm/day.

As expected, the performance drops to  $R = 0.53$  and bias = 1.46 mm/day over Field 2 and the RMSE increased from 0.65 to 2.15 mm/day due to the overestimation of  $K_{cb-act}$ , knowing that the maximum  $ET_{c-act}$  is around 6 mm/day on Field 2. The stable estimate of  $K_{cb-act}$  by the  $K_{cb-act} - \rho_{VV}$  is reflected in the  $ET_{c-act}$  estimation metrics where  $R$  is equal to 0.7 for both fields. However, the overestimation of  $K_{cb-act}$  at the end of the season led to a higher RMSE on Field 2 (1.15 mm/day on Field 2 versus 0.75 mm/day on Field 1). Interestingly enough,  $K_{cb-act} - PR$  and  $K_{cb-act} - \rho_{VH}$  methods provided with similar metrics as  $K_{cb-act} - NDVI$  and  $K_{cb-act} - \rho_{VV}$  in estimating  $ET_{c-act}$  even that the relationships used to estimate  $K_{cb-act}$  are of poor quality (see Fig. 5 for  $K_{cb-act} - PR$  and  $K_{cb-act} - \rho_{VH}$  relationships). For instance,  $K_{cb-act} - PR$  provided an estimate of  $ET_{c-act}$  with  $R = 0.63$ , slope = 0.89,  $RMSE = 1.02$  mm/day and bias = 0.38 mm/day over Field 2. This is probably related to the quasi-absence of water stressed period that could lead to strong discrepancies between  $ET_{c-act}$  and  $ET_0$  on these irrigated fields. Within these conditions, the inter-daily dynamics of  $ET_{c-act}$  is mainly governed by  $ET_0$  explaining the acceptable correlation coefficients for all the methods assessed in this study. To summarize, when passing from  $K_{cb-act} - NDVI$  to  $K_{cb-act} -$  radar variables,  $R$  was decreased by 12.5 % using  $\rho_{VV}$  and more than 27 % using  $PR$  and  $\rho_{VH}$  for Field 1. In contrast,  $R$  is improved on Field 2 using radar variables over  $NDVI$  with 24 % using  $\rho_{VV}$  and more than 18 % using  $PR$  and  $\rho_{VH}$ .

When corrected LE values are considered, the estimation of  $K_{cb-act}$  and  $ET_{c-act}$  over Field 1 and Field 2 yielded the statistical metrics summarized in Table A1 in the Appendix. The same approach as for raw LE values is adopted: (1) The relationships between  $K_{cb-act}$  and different remote sensing variables are first computed using data of Field 1 S2; (2)  $K_{cb-act}$  is estimated from these relationships and used to compute  $ET_{c-act}$ . The statistical metrics are close to those obtained with the raw data. The best relationships with  $K_{cb-act}$  are obviously obtained with  $NDVI$  but using  $\rho_{VV}$  also provided with acceptable metrics. The main differences are related to higher biases leading to higher RMSE mainly on Field 1 while bias is lower on Field 2 when using  $NDVI$ .

For a temporal inter-comparison of the  $ET_{c-act}$  estimated from different methods, Fig. 8 presents the time series of  $ET_{c-act}$  estimated over Field 1 and Field 2 for the two agricultural seasons considering raw LE data. The  $ET_0$ , the measured  $ET_{c-act}$ , rainfall and irrigation are also displayed.

Results show good reproduction of the  $ET_{c-act}$  time series especially using  $K_{cb-act} - NDVI$  and  $K_{cb-act} - \rho_{VV}$  for the Field 1 both seasons and Field 2 season S1. For Field 2 season S2, the presence of weed as well as the wind that laid down the high stems in April may be the reason for the low values obtained especially since the  $ET_{c-act}$  estimated by the different methods is high. This highlights the effect of different growing conditions for the same crop planted in the same soil, in the same climate and with the same irrigation technique. Overall, the studies that have used  $NDVI$  (and other optical variables) so far have been conducted

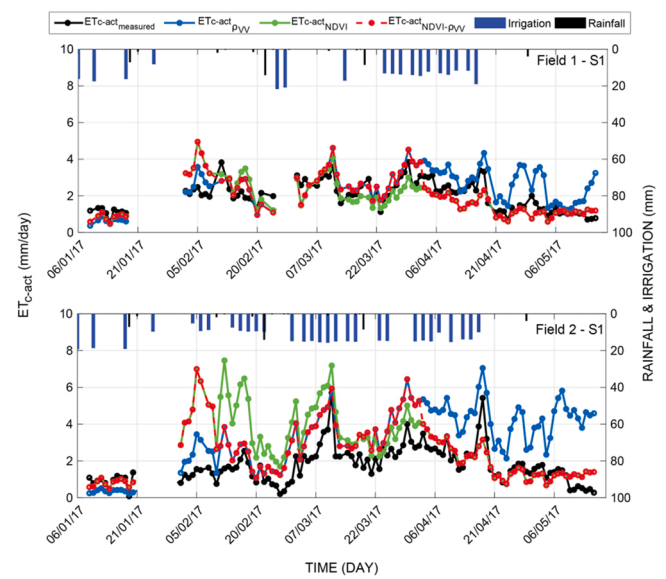


Fig. 9. Time series of  $ET_{c-act}$  estimated from hybrid  $K_{cb-act}$  over Field 1 and Field 2 season S1. The estimated  $ET_{c-act}$  from  $K_{cb-act} - NDVI$  and  $K_{cb-act} - \rho_{VV}$  in addition to measured  $ET_{c-act}$ , rainfall and irrigation amounts are also displayed.

on fields with similar crop characteristics. The question of the generality of the relationships established to estimate  $K_{cb-act}$  is still raised in previously published work. It has been reported that the effect of geometric properties, plant structure and development on  $ET_{c-act}$  varies not only between crops but also between varieties of the same crop (Araya et al., 2011; Campos et al., 2017; Pôças et al., 2020) and thus may change if the crop is mixed with another type of vegetation such as adventives in our case.

By comparing the estimated  $ET_{c-act}$  from the  $K_{cb-act}$  retrieved from different satellite data, a slight underestimation is observed at the beginning of the season for the radar variables, which is due to the low estimated  $K_{cb-act}$  compared to the  $NDVI$  that seems to estimate correctly the start of the season but then switches to a slight overestimation (clearly visible in Field 2 S1). This is in agreement with the results of French et al. (2020) using  $K_{cb-act}$  estimated from Sentinel 2 and Venus (Dick et al., 2022)  $NDVI$  where they found that the performance is less accurate during the first 60 days after sowing. The authors who conducted their study at 7 different wheat fields in USA have reported accurate  $ET$  estimates from mid-season onwards. The significant overestimation observed on Field 2 (Fig. 6) is illustrated in Fig. 3. Even though Field 2 during season S2 presents some specific growing conditions as already mentioned, the estimated  $ET_{c-act}$  is much higher than the  $ET_0$  and this is due to the high  $K_{cb-act}$  values caused by high  $NDVI$  values as discussed earlier. However, many shortcomings are highlighted in the literature concerning  $NDVI$  even though it is widely used (Huete, 1988; Huete et al., 2002; Huete and Liu, 1994; Pôças et al., 2020).

Among  $\rho_{VV}$ ,  $\rho_{VH}$ , and  $PR$ , it appears that  $K_{cb-act} - \rho_{VV}$  provides better results for  $ET_{c-act}$  estimation until senescence. At this time of the season, even though the change in cover structure has stopped and the water content of the vegetation is low (water content is about 27 % of total biomass in Field 1 season 1), the coherence is generally still low due to the movement of scatterers (wheat components), which leads to high  $K_{cb-act}$  values and, consequently, high  $ET_{c-act}$  values. This sensitivity of coherence to scatterers motion explains also the underestimation of  $ET_{c-act}$  by  $\rho_{VV}$  and  $\rho_{VH}$  between 22/03/2018 and 06/04/2018 where an increase in  $\rho_{VV}$  and  $\rho_{VH}$  is observed (Fig. 4). Such anomalies could be avoided in future works by a simple smoothing.

**Table 3**

Statistical metrics of the estimated  $ET_{c-act}$  using the  $K_{cb-act}$  - NDVI relationship and using the hybrid  $K_{cb-act}$  over Field 1 and Field 2 season S1.

	$K_{cb-act}$ - NDVI		Hybrid $K_{cb-act}$	
	Field 1 - S1	Field 2 - S1	Field 1 - S1	Field 2 -S1
R	0.69	0.53	0.74	0.63
RMSE (mm/day)	0.71	1.83	0.71	1.43
Bias (mm/day)	0.11	1.11	-0.04	-0.81

#### 4. Discussion

So far, the research and efforts in estimating  $K_{cb-act}$  have been focused only on using optical data. This is mainly due to the intrinsic simplicity and ease of interpretation and understanding of these indices (Póças et al., 2020) that have made their use widespread for irrigation assessment; in particular, they can be used by technicians and farmers (Allen et al., 2011). In addition, they demonstrated good results in the estimation of  $ET_{c-act}$ . However, the continuous availability of optical time series throughout the agricultural season is prevented by cloudy conditions. This has led some researchers to seek alternative solutions for estimating vegetation indices when significant data gaps are presented. One of the most prominent approaches has been the consideration of radar data to fill these gaps (Filgueiras et al., 2019). Our results in this study show encouraging prospects for using radar data instead of optical indices for  $ET_{c-act}$  estimation. Yet, it is also feasible to use the radar as a complement to the optics. For instance, Sentinel-2 images for the period from 11/02/2017–02/04/2017 are not useful due to cloud cover. Therefore,  $K_{cb-act}$  is estimated from  $\rho_{VV}$  for this period and the rest is estimated from NDVI (hereafter called hybrid  $K_{cb-act}$ ). Fig. 9 shows the estimated  $ET_{c-act}$  from the hybrid  $K_{cb-act}$  for Field 1 and Field 2. For comparison, the estimated  $ET_{c-act}$  from  $K_{cb-act}$  - NDVI,  $K_{cb-act}$  -  $\rho_{VV}$  and the measured  $ET_{c-act}$  are also shown in the figure. The corresponding statistical metrics are presented in Table 3. The results show an obvious improvement in the estimation of  $ET_{c-act}$  using the hybrid  $K_{cb-act}$  where an improvement of 6.7 % and 15.9 % on Field 1 and Field 2, respectively in the correlation is obtained. Interestingly, the bias is reduced by 63.6 % on Field 1 and the RMSE and bias are reduced by 21.8 % and 27 %, respectively, on Field 2 when using the hybrid  $K_{cb-act}$ . The use of  $K_{cb-act}$  estimated from  $\rho_{VV}$  for the cloudy period reduced the overestimation by the  $K_{cb-act}$  - NDVI over Field 2, clearly observed in the  $ET_{c-act}$  time series between 05/02 and 15/03 in Fig. 9.

In addition to a hybrid approach that uses the radar and optical variables separately, it can be expected that combining both through multiple linear regression or machine learning algorithms would be a promising way to improve the estimation of  $K_{cb-act}$ .

However, it is worth mentioning that radar data are relatively more complicated to process and interpret than optical data, which can be a problem for direct applications by managers, although these difficulties are decreasing thanks to the rapid evolution of electronic equipment and platforms that can process radar data on a large scale and make them available to users. For example, the CNES platform (<https://peps.cnes.fr>) can now offer  $\sigma^0$  maps on demand. Google earth Engine also provides large-scale products using free scripts found on the internet. It is hoped that these efforts will increase and make the processed data easily available to users who are not primarily radar data specialists.

This work is the first step in its concept and further research is obviously required. Here, the simple  $K_{cb-act}$  approach is used as a first step to check the accuracies and potential use of different radar variables. The simple  $K_{cb-act}$  approach is generally preferred because it allows for rapid analysis and rough quantification of  $ET_{c-act}$  with relatively moderate accuracy of vegetation water stress and soil evaporation. On one hand, it is an approach well suited for large-scale agricultural water management. For instance, it can be used for irrigation scheduling by estimating  $K_{cb}$  from the proposed approach and the water stress coefficient  $K_s$  estimated, typically, from a water balance model such as the

two-layer soil budget of the FAO-56 double coefficient approach. However, for operational irrigation management at large scale, the estimation of  $K_s$  from the water balance model is limited by the unavailability of irrigation data at the field scale over most irrigated areas as well as the difficulty in modeling the root zone soil moisture because of uncertain root depth and soil texture (Olivera-Guerra et al., 2018). Consequently, several studies have assessed the potentialities of thermal infrared data to estimate  $K_s$  (e.g. Ihuoma and Madramootoo, 2017; Kullberg et al., 2016; Olivera-Guerra et al., 2018). On the other hand, a better description of physical processes is beneficial. Our current work focuses on the partition of evaporation from the soil and the transpiration component. This approach, which is based on the resolution of the soil water balance, should also be corrected using  $K_s$ . This application would be very interesting and compatible with the resolution of Sentinels 1 and 2 with the upcoming availability of high spatio-temporal resolution of thermal data with the launch of future missions such as HypsIRI (2022), Sentinel-8 (2024), TRISHNA (2025) and LSTM (2028).

The transferability of the obtained relationships between  $K_{cb-act}$  and remote sensing variables is a key issue. The FAO-56 been a very conceptual model, the relationships between  $K_{cb-act}$  and NDVI (as NDVI is the most commonly used variable to estimate  $K_{cb-act}$  in the literature), are well known to be specific to the site/region where they have been established. This is because  $K_{cb-act}$  take into account all factors that make a specific crop different from the reference grass including development stage, density, geometry and health. It also depends on climate and on agricultural practices, as discussed in the introduction. As such, the domain of application of the  $K_{cb-act}$  relationships with radar variables presented in this study should be limited to wheat under similar semi-arid climate and agricultural practices. To extend the genericity of the method by developing relationships for other conditions, a larger data base should be used.

From another perspective, the study should be extended to include other annual crops such as tree crops where the seasonal evolution of  $\rho$  and  $\sigma^0$  (hence PR) is limited (Frison et al., 2018). Also, the investigation should be extended to other sites with different irrigation techniques such as flooding and sprinkling. Some studies have shown sensitivity of  $\rho$  to soil moisture such as Morrison et al. (2011) who demonstrated a relationship between  $\rho$  and soil moisture on bare soil in C-band. Similarly, De Zan et al. (2014) found a loss of  $\rho$  in response to increasing and decreasing soil moisture levels using L-band data on bare fields. For our database, no significant sensitivity to soil moisture is observed (details on Ouaadi et al., 2020). On one hand, this could be related to the low fraction of wetted soil surface (fw) as the fields are drip irrigated (fw = 0.3 (Allen et al., 1998)) compared to other techniques such as flooding where fw is equal to 1. On the other hand, it is most likely that in the presence of vegetation, the effect of soil moisture is negligible compared to the decorrelation (or loss of  $\rho$ ) caused by the vegetation. Indeed, Moeremans and Dautrebande (2000) reported that some  $\rho$  changes can be related to soil moisture variations on bare soils but these changes become negligible when the soil is vegetated. Similarly, Barrett et al. (2012) found that the effect of soil moisture variation is suppressed by the predominant effect of vegetation, particularly for C-band which is sensitive to both soil and vegetation characteristics (Ulaby et al., 1986). Using C- and L-band data, Molan and Lu (2020) also revealed that  $\rho$  is not related to changes in soil moisture over agricultural fields. However, it should be kept in mind that  $\rho$  is calculated in these studies, as in ours, with a minimum of 6 days between the two images (baseline), which is largely sufficient for agricultural cover to grow and, in most cases, weather conditions change, which will be the main sources of  $\rho$  loss. Stated differently, soil moisture could have an observable impact if  $\rho$  is calculated with a short baseline where the temporal development of vegetation is limited. Indeed, the experiment conducted by Albinet et al. (2016) on a tree demonstrated that watering the tree leads to an abrupt decrease in  $\rho$  that requires between 30 min and 6 h (depending on polarization) to recover the previous level before watering. From another

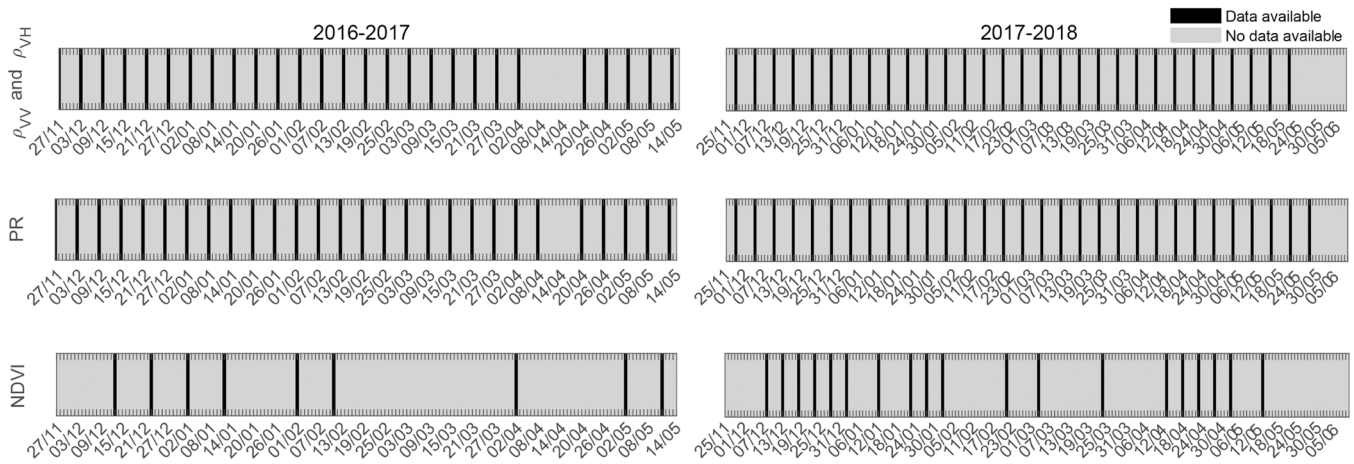


Fig. A1. Schedule of the remote sensing data availability ( $\rho_{VV}$ ,  $\rho_{VH}$ , PR and NDVI) during the two agricultural seasons 2016–2017 and 2017–2018.

perspective, the results of Albinet et al. (2016) shows that the presence of water on the surface of vegetation components, due to watering events such as artificial or natural rainfall or due to dew, disrupts  $\rho$ . This was highlighted in the tropical forest by Hamadi et al., (2014). The further investigation of these different circumstances is necessary for a better evaluation of the  $K_{cb-act} - \rho$  relationships since the degradation of  $\rho$  will automatically induce a degradation of the  $K_{cb-act} - \rho$  relationships. In this context, sub-daily measurements of radar data over annual crops are needed to understand the impact of soil moisture on  $\rho$ . An experiment is currently installed in Morocco to measure  $\rho$  and  $\sigma^0$  over a wheat field with a step of 15 min. The data are being processed and will be used in our future work.

5. Conclusions

This work seeks to evaluate the potential use of SAR data to estimate the crop coefficient  $K_{cb-act}$  and crop evapotranspiration  $ET_{c-act}$ . The commonly used NDVI is also considered for comparison purposes. The study was conducted over four agricultural seasons of irrigated winter wheat in Morocco. Radar variables are estimated from the C-band Sentinel-1 sensor with a revisit time of 6 days and a resolution of 10 m. The NDVI is derived from Sentinel-2 with similar resolutions. First, the relationship between  $K_{cb-act}$  on one hand and PR,  $\rho_{VV}$  and  $\rho_{VH}$  on the other hand is studied for the whole agricultural season. Second, the relationships  $K_{cb-act} - PR$ ,  $K_{cb-act} - \rho_{VV}$ ,  $K_{cb-act} - \rho_{VH}$  and  $K_{cb-act} - NDVI$  are calibrated using an agricultural season and the derived relationships are used to estimate  $K_{cb-act}$  and  $ET_{c-act}$  over the four seasons.

The outcomes of this study showed that the variables derived from the SAR data can also be successfully used to estimate  $K_{cb-act}$  and  $ET_{c-act}$ , in particular,  $ET_{c-act}$  is estimated using  $K_{cb-act} - \rho_{VV}$  with an RMSE = 0.75 mm/day which is close to the RMSE obtained using  $K_{cb-act} - NDVI$  (RMSE = 0.65 mm/day) over Field 1. In contrast, RMSE values have increased to 1.15 mm/day versus 2.15 mm/day using  $K_{cb-act} - \rho_{VV}$  and  $K_{cb-act} - NDVI$ , respectively on Field 2 due to the specific growing conditions of this field with a high presence of adventives. However, based on our results, the radar variables and in particular  $\rho_{VV}$  demonstrates good performance and, in particular, a stability of the statistical metrics from one field and one season to another in the estimation of  $ET_{c-act}$  over the two fields. This opens new perspectives for robust and operational applications at large spatial scales, especially in regions where optical data are inoperable or for crops grown in winter usually characterized by frequent cloudy conditions. Most importantly, the shortcomings obtained for  $ET_{c-act}$  estimation using radar data are at the end of the season, a period that is not important for  $ET_{c-act}$  applications and crop water requirement management since vegetation dries out at this time and irrigation is already stopped. The results also show that radar can be

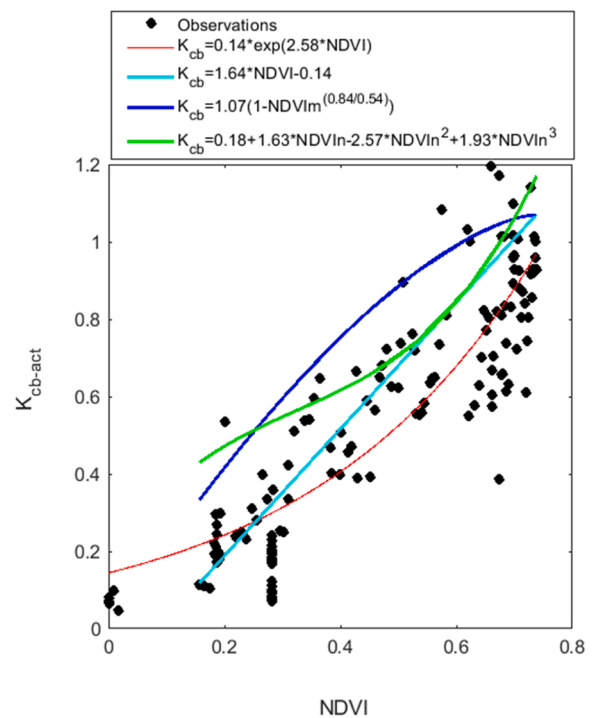


Fig. A2. Examples of  $K_{cb-act} - NDVI$  relationships available in the literature for wheat crops over Field 1. The one established in this work is also superimposed in red ( $NDVI_m = \frac{NDVI_{max} - NDVI}{NDVI_{max} - NDVI_{min}}$ ,  $NDVI_n = \frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}}$ ).

used as a complement to optics; in particular, the use of  $K_{cb-act}$ -derived from  $\rho_{VV}$  during the period of unavailability of optical images improved the correlation coefficient of  $ET_{c-act}$  estimate by 7–16 % and reduced the bias by over 27 %. Our study presents the first attempt at estimating  $K_{cb-act}$  and  $ET_{c-act}$  from SAR data but further work is required for additional validation of the results as well as for a better and deeper investigation of the behavior of the  $K_{cb-act}$ -radar and  $K_{cb-act}$ -optical variables relationships and their robustness in estimating  $ET_{c-act}$  when the geometrical and physiological properties of the canopy change as for example the case presented by season S2 of Field 2.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence

**Table A1**Statistical metrics obtained for the estimation of  $K_{cb-act}$  and  $ET_{c-act}$  after applying Bowen correction to the LE measurements ( $K_{cb-act}$  is denoted by  $K_{cb}$  for simplification).

		Field 1				Field 2			
		$K_{cb-PR}$	$K_{cb-\rho_{VV}}$	$K_{cb-\rho_{VH}}$	$K_{cb-NDVI}$	$K_{cb-PR}$	$K_{cb-\rho_{VV}}$	$K_{cb-\rho_{VH}}$	$K_{cb-NDVI}$
$K_{cb-act}$ estimation	R	0.53	0.76	0.38	0.85	0.42	0.80	0.57	0.80
	RMSE (mm/day)	0.44	0.41	0.49	0.44	0.47	0.33	0.40	0.32
	Bias (mm/day)	-0.17	-0.24	-0.24	-0.34	-0.25	-0.11	-0.17	-0.10
$ET_{c-act}$ estimation	R	0.43	0.62	0.25	0.74	0.53	0.66	0.61	0.65
	RMSE (mm/day)	1.71	1.65	1.92	1.83	1.68	1.30	1.44	1.41
	Bias (mm/day)	-0.91	-1.14	-1.09	-1.50	-0.96	-0.51	-0.68	-0.40

the work reported in this paper.

## Data Availability

Data will be made available on request.

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## Appendix

See [Figs. A1-A2](#).

See [Table A1](#).

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