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## Microplastics in sediments from urban and suburban rivers: influence of sediment properties 2

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34	HIGHLIGHTS
35	
36	• Abundance and distribution microplastics in urban and suburban rivers were
37	investigated
38	• Polypropylene and polyethylene were the dominant polymer types for fiber
39	microplastics
40	• Sediment properties play role in microplastic accumulation and fate
41	Human activities and urbanization increase microplastic pollution
42	• The potential ecological risk in urban and suburban river sediments was high
43	

### 44 Abstract:

45 Although sediments are considered to be a major sink for microplastics (MP), there is still a relative lack of knowledge on the factors that influence the occurrence and abundance of 46 MP in riverine sediments. The present study investigated the occurrence and distribution of 47 48 MP in riverine sediments collected at twelve sites representative of different populated and 49 urbanized rivers (To Lich, Nhue and Day Rivers) located in the Red River Delta (RRD, Vietnam, during dry and rainy seasons. MP concentrations ranged from 1,600 items kg<sup>-1</sup> dw to 50 94,300 items kg<sup>-1</sup>dw. Fiber shape dominated and MP were made of polypropylene (PP) and 51 52 polyethylene (PE) predominantly. An absence of seasonal effect was observed for both frag-53 ments and fibers for each rivers. Decreasing MP concentrations trend was evidenced from the 54 To Lich River, to the Nhue River and to the Day River, coupled with a decreasing fiber length 55 and an increasing fragment area in the surface sediment from upstream to downstream. Con-56 tent of organic matter was correlated to MP concentrations suggesting that, high levels of or-57 ganic matter could be MP hotspots in urban rivers. Also, high population density as well as in 58 highly residential areas are related to higher MP concentrations in sediments. Finally, a MP 59 high ecological risk (RI: 866 to 4711) was calculated in the RDD.

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64 Keywords: Microplastics, aquatic ecosystems, riverine sediment, urban and suburban rivers

# 66 Graphical abstract:



#### 68 Introduction

69 Plastic production has increased rapidly since the start of large-scale plastic manufacturing 70 in the 1950s due to its versatility, low cost, and durability (GESAMP, 2019). Global plastics 71 production doubled from 2000 to 2019 to reach 460 million tons with only 9% recycled, 12% incinerated, and the remaining left in the environment or landfills (Gever et al., 2017; OECD, 72 73 2022). Global studies on plastic production and transportation estimated that the annual river-74 sourced contribution of plastic waste to oceans ranged from 0.41 to more than 8 million tons 75 per year (Geyer et al., 2017; Lebreton et al., 2017; Meijer et al., 2021). Plastic waste is mostly 76 non-degradable or very slow to degrade, resulting in accumulation in various environmental 77 compartments (Abbasi et al., 2019; Wong et al., 2020; Bank, 2021; Dissanayake et al., 2022), 78 in the form of microplastics (MP) -tiny particles smaller than 5 mm in size. Once in the environments, due to their specific physical properties, MP can become harmful as they can 79 80 adsorb a wide range of toxic chemicals, and pathogens (Barletta et al., 2019; Guo and Wang, 81 2019; He et al., 2020; Mao et al., 2020; Naqash et al., 2020) and be transferred to living or-82 ganisms through the food chain (Wootton et al., 2021). Since MP have small sizes, they are 83 easily ingested by various organisms, leading to intestinal damage, reproductive dysfunction, 84 lethality, developmental inhibition, reduction in lifespan, behavior and neural toxicity and 85 oxidative damage (Lei et al., 2018 a, Song et al., 2019; Deng et al., 2020b; Li et al., 2020a).

MP pollution in freshwater ecosystems has been studied extensively since the last decade (Wagner et al., 2018 a), but a majority of research focused mainly on quantifying MP in surface waters, while less attention is given to their occurrence and migration in sediment. However, sediments have been considered to be the long-term sink for MP debris in aquatic ecosystems. MP can be entrapped and aggregated with other substances such as suspended matter, bacteria, fungi and algae to form a relatively large combination resulting in the rapid settlement of MP in the bottom of water bodies (Zhao et al., 2022). Recently, it has been

93 estimated that 70 - 90% of MP settled and accumulated into sediment environments world-94 wide (Yang et al., 2021). As a part of freshwater systems, rivers are one of the main pathways for transporting inland macro- and MP debris to the ocean (Lebreton et al. 2017). Although 95 96 MP contamination in river systems has received attention, knowledge of MP distribution in 97 riverine sediments still needs to be improved and clarified. Studies conducted in Asia, Eu-98 rope, America and Africa have shown that the abundance of MP in river sediments varied 99 from almost none to several ten thousands of items per kilogram (Yang et al., 2021; Fan et al., 100 2022).

101 In Asia, urban rivers are crossing densely populated areas where people produce and use plastics. Therefore, urban rivers are a receptacle and a potential sink of plastic and MP, origi-102 103 nating from direct release of domestic and industrial wastewaters, treated and untreated (Peng 104 et al., 2018; Cordova et al., 2022; Talbot and Chang, 2022) and from urban runoff (Yukioka 105 et al., 2020; Le et al., 2023b). In riverine sediments, abundance of MP is often related to the 106 proximity of dense population areas, land use and the intensity of anthropogenic activities 107 (Rodrigues et al., 2018; Kumar et al., 2021). For example, a high concentration of MP in riv-108 erine sediments was recorded in most rivers crossing dense population areas such as in the Beijiang River, China (178 - 544 items kg<sup>-1</sup> (Wang et al., 2017); and the Nakdong River, South 109 Korea  $(1.970 \pm 62 \text{ items kg}^{-1}; \text{ observation size range } [0.02 - 5 \text{ mm}]$  (Eo et al., 2019). High 110 111 levels of MP pollution were subjected to intense industrial activities (Lahens et al, 2018; 112 Deng et al., 2020a) or recreational-tourism (Manbohi et al., 2023). Although studies on MP distribution in riverine sediments has increased lately (Phuong et al., 2022), the link between 113 114 the occurrence of MP and the sediment properties still need to be better understood, despite 115 past studies evidencing the role of grain size and organic matter content on MP fate and trap-116 ping (Mendes et al., 2021; Soltani et al., 2022). Since the understanding and identification of 117 MP sources and sinks is important to effectively reduce the impact of plastic pollution on re-

118 ceiving ecosystems, the objective of this study is to characterize microplastic (MP) distribu-119 tion in riverine sediments from three contrasted river catchments in the Red River Delta 120 (RRD) hydrosystem. More specifically, we aimed (i) assessing the level of MP concentrations 121 in riverine sediments; (ii) evaluating sediment characteristics as a proxy of microplastic depo-122 sition in sediment; and discussing the correlation between MP abundance and sediment prop-123 erties, catchment characteristics; (iii) understanding the pollution status and ecological risk 124 level of MP in urban and suburban rivers. The Red River Delta, localized in Northern Vi-125 etnam, is under the direct influence of the growing megacity of Hanoi, capital of Hanoi, and is 126 subjected to a rapid change of urbanization that impacts its ecosystem. The three river catch-127 ments, e.g., To Lich River, Nhue River and Day River, located in the Red River Delta hydro-128 system, representing specific land use and urban pressure, were selected to monitor sediment 129 characteristics and MP concentrations along each river and during both dry and rainy seasons. 130 Therefore, the RRD can be considered as a representative model of Asian rivers crossing de-131 veloping megacities.

## 132 **2. Materials and methods**

#### 133 **2.1. Study area**

134 The RRD, located in Northern Vietnam, is the country's second largest delta of the country (e.g., 169,000 km<sup>2</sup> RRD catchment area), and hosts Hanoi City, the capital (Figure 1). RRD is 135 136 the most densely populated region with a population of more than 21 million inhabitants, 137 growing annually by 1.14% (General Statistic Office 2018, 2021). The RRD has a tropical 138 climate, characterized by two contrasted seasons: a rainy and warm season (May to October) 139 and a dry and cooler season (November to April). There is an average annual rainfall of 1,700 - 1,800 mm yr<sup>-1</sup> (Le et al., 2015). Over the past decade, anthropogenic activities have signifi-140 141 cantly altered the RRD hydrology, suspended sediment and associated nutrient load (Dang et

142 al., 2010; Le et al. 2015). Twelve sampling sites located upstream, midstream and downstream sections of the To Lich, the Nhue and the Day Rivers were chosen (Figure 1; Table 143 144 S1). Within the urban part of the RDD, the To Lich River (17 km length, mean annual discharge O: 30 m<sup>3</sup> s<sup>-1</sup>) flows through the Hanoi metropolitan area and receives untreated waste-145 146 water directly from urban areas, residential areas, and industrial zones, degrading the quality 147 of its water badly (Duong et al., 2022). Along this river, three sampling sites were selected: 148 upstream TLR-1 middle stream TLR-2 and downstream TLR-3. Then, the Nhue River (74 km length; mean annual discharge Q: 70 m<sup>3</sup> s<sup>-1</sup>), a tributary of the Red River, flows through 149 150 densely populated provinces and receives the waters directly from the To Lich River. Four 151 sampling sites were selected along the Nhue River: NR-1 in the up-stream part at the conflu-152 ence with the Red River; NR-2 in the middle stream before the confluence with the To Lich 153 River); NR-3, 7 km downstream of the confluence with the To Lich River and NR-4, 50 km downstream of the confluence. Finally, the Day River (mean annual discharge Q:  $252 \text{ m}^3 \text{ s}^{-1}$ ) 154 155 runs through highly urbanized provinces such as Hanoi, Hanam, Namdinh, and Ninhbinh, 156 receiving waters from the Nhue River and flowing directly into the Gulf of Tonkin, dominat-157 ed by surrounding agriculture land use activities. Five sampling sites were selected along the 158 longitudinal gradient of this river: DR-1, 9 km from the Day dam, Red river source; DR-2, 39 159 km from the source; DR-3, 115 km from the source, situated before discharging from the pol-160 luted Nhue river; DR-4, 126 km from the source, located after receiving water from the Nhue 161 River; and DR-5, 175 km from the source. The current ratio of the urban/rural population of 162 the To Lich River (6.4) is 3.1 - 21.7-fold higher than in the Nhue River (2.01) and the Day 163 River (0.3) (General Statistic Office, 2021).

## 164 **2.2. Sampling**

165 At each site, riverine sediment samples were collected during the rainy season, in June 166 2020, August 2020, and October 2020 and during the dry season in November 2020, January 167 2021 and March 2021, for a total of 72 sediment samples. Riverine sediments (surface layer: 168 0-5cm) were collected in triplicate in the middle, left, and right bank of the river, in the sub-169 merged zone (e.g., intertidal banks were avoided) using a Van-Veen grab sampler. Samples 170 were directly pooled and homogenized before being wrapped in aluminium foil and trans-171 ported in an ice-box to the laboratory.

172 **2.3. Methods** 

173 Grain size distribution

Grain size distribution was determined using laser diffraction with a Horiba LA 950 Grain Size Analyzer in triplicate. For this analysis, 2 g of sediment treated is required. Before analyzing, organic matter was removed using 1 mL of 30 % H<sub>2</sub>O<sub>2</sub> overnight and sediment solutions were dispersed using 5.5 g L<sup>-</sup> sodium hexametaphosphate (NaPO<sub>3</sub>)<sub>6</sub>. Grain size fractions were detected as volume percentages according to sediment grade based on a combination of sediment aggregated from Udden (1914) and Wentworth (1922) including: clay [< 4 µm], silt [4 - 63 µm], sand [63-2,000 µm] and gravel [> 2,000 µm].

181 Organic matter content

Loss on ignition technique was measured to determine the organic matter (OM) content. Sediment sub-samples (1 g) were dried at 105 °C for 24 hours and then at 550 °C for 4 hours to estimate the pore water content and OM content, respectively, following the procedure of (Heiri et al., 2001).

186 Microplastic extraction

Sediment sub-samples were oven dried at 40 °C for 72 h to reach the constant weight, and were sieved (1 mm mesh size) to remove large particles (e.g., shells, leaves) prior to MP extraction and identification. MP extraction was performed using a density separation process (Strady et al., 2021; Duong et al., 2022) consisting of: (i) digesting the dried sediment with 30%  $H_2O_2$  solution (Merck, Germany) at 40 °C for three hours to remove organic matter content; (ii) floatation of the fraction in a saturated NaCl solution ( $\rho = 1.2 \text{ g cm}^{-3}$ ) to separate MP particles; (iii) filtration of the supernatant solution through a GF/A glass microfiber filter (Whatman, diameter of 47 mm and pore size of 1.6 µm) using a glassware filtration unit.

195 Microplastic observation

MP collected on filters were analyzed using a Leica S9i stereomicroscope equipped with a camera. Based on their shapes, MP were categorised into fragments (including films and foams), fibers, and pellets. LAS software<sup>®</sup> was used to measure the color and size of the MP, with a defined size range of  $[300 - 5,000 \ \mu m]$ , following the recommended protocol of GESAMP (2019).

201 Polymer identification by  $\mu$  micro-Fourier Transform InfraRed ( $\mu$ -FTIR)

202 Items suspected as MP from all sediment samples and in the range size of [300 - 5,000 µm] 203 were randomly selected and analyzed for polymer compositions using a microscope coupled 204 to a µFTIR spectrometer (NICOLET iN 10 MX infrared imaging microscope, Thermo Scien-205 tific). MP items were transferred onto Anodisc filters (0.2 µm of porosity and 25 mm of di-206 ameter, Whatman, Germany). Measurement parameters and reference databases were de-207 scribed in the study of Dhivert et al. (2022). Among three detector types equipped on the instrument, an imaging detector was used with 16 MCT detectors of  $25 \times 25$  µm. Each spec-208 trum was acquired in a transmission mode, wave numbers ranged from 4.000 to 1.200 cm<sup>-1</sup> 209 with 1 accumulation and a resolution of 8 cm<sup>-1</sup>. The background was performed on a clean 210 211 Anodisc filter. Measured spectrums were automatically subtracted from the background dur-212 ing analyses. The whole filtered area was mapped and totaled more than 600,000 acquired 213 spectrums for a filter. The obtained spectra were compared to reference spectra via the siMPle 214 software (version  $1.1.\beta$ ). This free tool (simple-plastics.eu) was recently developed by 215 Primpke et al. (2018). Reference databases include more than 30 polymer types and some

216 natural compositions, such as protein. An item is accepted as MP when the matching score is 217 satisfied to the values set up by the default (*i.e.*  $\geq$  75% for PE;  $\geq$  55% for PP).

# 218 MP risk assessment

The potential ecological risk of MP in sediments in the To Lich, Nhue and Day Rivers was calculated using a combination of Pollution load index (PLI), and ecological risk (RI) as previously proposed by Li et al. (2020b). The calculation equations of the PLI and RI are given below:

225 
$$RI = \sum_{n=1}^{n} (Ei)$$
 (3)

$$Ei = Ti \times (C_i/C_o) \tag{4}$$

Where: C<sub>i</sub>: the concentration of MP (item per kg dry weigh) at the site i; Co: the minimum 227 228 MP concentration. Instead of considering the minimum concentration observed during our 229 study, as all sites are in urban zone and potentially contaminated, we used as Co the MP concentration determined at Ba Lat, Red river (rural area) (Co=133 items kg<sup>-1</sup> dry weigh; Le et 230 231 al., 2023a) as the reference background value. Ei and RI are the potential ecological risk fac-232 tor and the potential ecological risk, respectively; Ti: chemical toxicity of a specific polymer 233 (Lithner et al., 2011). PLI is classified into four risk categories: I (PLI < 10); II (PLI: 10 - 20); 234 III (PLI: 20 - 30); IV (PLI > 30). The potential ecological risk level is considered to be five 235 categories: minor (RI < 150), medium (RI: 150 - 300), high (RI: 300 - 600), danger (RI: 600 -236 1,200) and extreme danger (> 1200).

237 **2.4. Quality control** 

238 All sampling equipment and laboratory glassware were previously cleaned with filtered de-239 ionized water and wrapped in aluminum foil to avoid any cross-site contamination. Cotton 240 laboratory coats and nitrile gloves were used and working surfaces were cleaned daily with 241 ethanol. All reagents employed during the sample processing were previously filtered through 242 a 1.6 µm glass fiber filter (Whatman GF/A) and then stored in clean glass containers. Blank 243 samples were run simultaneously with analysis samples to assess the background and cross-244 contamination during laboratory analysis. There were an average of two fibers per sample 245 which is considered negligible compared to the number of MP detected in field sediment 246 samples.

### 247 **2.5. Data treatments**

The MP concentration in sediments was expressed as particles per kilogram of dry sediment (items  $kg^{-1}dw$ ). Non-parametric Mann-Whitney and Kruskal-Wallis tests were used to compare the abundance MP among sites, rivers and sampling times. Correlations and Principal component analysis (PCA) using the R software - R version 4.0 (R Core Team, 2018) were applied to investigate the covariance of the relationships between the occurrence of microplastics in river sediments, population characteristics and land uses of river catchments.

255 **3. Results and discussion** 

#### 256 3.1. Microplastic level, shape and size variations between rivers and seasons

MP were identified in all three studied rivers, at all sampling sites and during both the dry and rainy seasons. Their concentrations ranged from 1,600 items kg<sup>-1</sup> dw to 94,300 items kg<sup>-1</sup> dw (Figure 2), which is higher than 60% of the reported MP concentrations in riverine sediments from Asia (e.g., 100 - 1,000 items kg<sup>-1</sup> dw; Phuong et al., 2022). Fiber shape dominated over fragment shape in the three rivers (Figure 3), as reported worldwide in urbanized aquatic environments (Alam et al. 2019; Murphy et al., 2022). MP colors observed in the three rivers
were purple for 40% of the whole MP, black for 16%, green for 13%, red for 12%, blue for
11% and the remaining was a mixture of grey, white and yellow (Figure 4).

The polymer compositions of analyzed MP were polyethylene (PE) and polypropylene (PP), which accounted for 87% of all identified MP, followed by polyester (5.78%), polystyrene (PS) (4.1%), polyvinyl chloride (PVC) (1.5%), polyurethane (PU) (1.2%) and other (0.4%) (Table 1 and Figure 1S). The results are similar to previous studies conducted in rivers crossing areas subjected to high anthropogenic pressure, like in Shanghai, Haihe River basin and Wen-Rui Tang River, China (Peng et al., 2018; Liu et al., 2021a and b, Wang et al., 2018); in Indian Himalaya, India (Tsering et al., 2021) (Table 2).

272 The three rivers presented specific MP concentrations over the whole sites and sampling 273 periods (Kruskal-Wallis test, p < 0.0001, followed by the Conover-Iman test with p < 0.0001274 for all). The To Lich River (TLR1, TLR2, TLR3) presented the highest concentrations with 275 an average abundance of MP over the six sampling periods and sites of  $42,683 \pm 23,544$  items  $kg^{-1}$  dw (n = 18). No seasonal pattern was observed between the dry (corresponding Novem-276 277 ber, January, and March) and rainy (corresponding July, August, and October) seasons for 278 MP in this river (Mann-Whitney test, p > 0.05) pointing out the integrative aspect of the sed-279 iment through time in this riverine system. In comparison to other studies using the similar 280 analytical procedure, notably for extraction, MP concentrations in the To Lich River sedi-281 ments were higher than those documented in other rivers in Europe, America, Africa and Asia 282 (Table 2) and the same order of magnitude as sediments from the Phu Loc channel in Da 283 Nang, receiving direct municipal untreated wastewater from densely populated areas (Nguyen 284 et al., 2022). The Nhue River (NR1, NR2, NR3) presented an average abundance over the sixsampling periods and sites of  $16,350 \pm 10,359$  items kg<sup>-1</sup> dw (n = 18) while the lower average 285 abundance of 7,747  $\pm$  6,117 items kg<sup>-1</sup> dw (n = 18) was measured in the Day River (DR1, 286

287 DR2, DR3) depicted in Figure 2. As for the To Lich River, no differences in MP concentra-288 tions were observed between the dry and the rainy seasons in both rivers (Mann-Whitney test, 289 p > 0.05), which also points out the integrative aspect of this compartment.

290 Considering fiber only, fiber concentrations at each river evidenced an absence of seasonal 291 effect (Mann-Whitney test, p > 0.05) and concentrations were significantly different over the 292 whole sampling period, with higher concentrations measured in the To Lich River, followed 293 by the Day River and the Nhue River (Kruskal-Wallis test, p < 0.0001, followed by Conover-294 Iman test with p < 0.0001 for all). Over the whole sampling period, the mean median fiber 295 length was measured 1,091 µm in the Day River, 1,222 µm in the Nhue River, and 1,291 µm 296 in the To Lich River (Figure 5), with a significant difference between the To Lich River and 297 the Day River only (Kruskal-Wallis test, p < 0.027, followed by Conover-Iman test with p < 0.027, followed by Conover-Ima 298 0.009 for the To Lich and Day Rivers). This decreasing fiber length from upstream to down-299 stream showed that longer fibers were sampled in the surface sediment of highly urbanized and still environments like the To Lich River (mean annual discharge Q: 30 m<sup>3</sup>·s<sup>-1</sup>) and that 300 301 shorter fibers were sampled in the surface sediment of the larger river, with higher water dis-302 charge, less direct urban pressure, and receiving waters from the whole basin, like the Day River (mean annual discharge Q:  $252 \text{ m}^{3} \text{ s}^{-1}$ ). The median fiber length, determined at each site 303 304 and at each sampling time, presented an absence of seasonal effect for all rivers (Mann-305 Whitney test, p>0.05).

Considering fragment only, fragment concentrations at each river also evidenced an absence of seasonal effect (Mann-Whitney test, p > 0.05), with significantly higher concentrations measured in the To Lich River over the whole sampling period (Kruskal-Wallis test, p <0.0001, followed by Conover-Iman test with p < 0.0001 for the To Lich River only. The mean median fragment area was 210,016  $\mu$ m<sup>2</sup> in the Day River; 163,408  $\mu$ m<sup>2</sup> in the Nhue River and 151,792  $\mu$ m<sup>2</sup> in the To Lich River, over the whole sampling period. Contrary to fiber shape, 312 we noticed an increasing fragment area size floating in the surface sediment, from upstream to 313 downstream and a seasonal effect for fragment median area in the To Lich River only 314 (Kruskal-Wallis test, p < 0.003, followed by Conover-Iman test with p < 0.003 for the Day 315 and To Lich Rivers and for the Nhue and To Lich Rivers) (Figure 5). To summarize, average 316 concentrations over the six-sampling period and sites clearly evidenced a decreasing trend of 317 MP concentrations, fibers and fragments, from the To Lich River, to the Nhue River and to 318 the Day River, coupled with a decreasing fiber length and an increasing fragment area in the 319 surface sediment from upstream to downstream.

## 320 3.2. Sediment characteristics

321 Grain size trends are a natural result of sediment transport processes related to the effects 322 of abrasion and selective sorting (Le Roux and Rojas 2007). The sediments sampled in the To 323 Lich, Nhue and Day Rivers were categorized from silt to sandy silt. The mean grain size var-324 ied between the rivers from the To Lich River, to the Nhue River then to the Day River, and 325 decreased from upstream to downstream (Table 3). The grain size of riverine sediments generally tends to decrease in the transport direction, from upstream to downstream (Le Roux 326 327 and Rojas 2007). The sorting of the river sediment samples were divided into poorly sorted 328 and very poorly sorted due to the average sorting values of all river sediments being higher 329 than 2 mm. The sediments were categorized as very poorly sorted because the particle size 330 either accumulated randomly (Ingmanson and Wallace, 1994) or was transported over a short 331 distance (Folk and Ward, 1957). The poor sorting is also influenced by the current velocity 332 which may be inhomogeneous most of the time (Li et al., 2022), so the accumulated sediment 333 grains would be relatively various.

Conversely, this may also be because the sediments have possibly been deposited relatively close to the source areas. The skewness, a parameter of the asymmetry of frequency distribution concerning median value of sediment grain size, varied substantially and the kurtosis, a measure of the "peakedness" of the distribution, changed slightly. The features of
almost all riverine sediments define a symmetrical to fined skewed and platykurtic grain size
distribution. This distribution indicates that positive skewness values generally find fine-sized
substrate, i.e. silt to clay (Switzer and Pile, 2015) and low kurtosis value is produced with
bimodal frequency (flat) curves equating to poorly sorted sediments.

342 The loss of ignition  $LOI_{550}$  is a standard method to determine the sediment's organic matter 343 (OM) content. The level of OM varied significantly along the three studied rivers, with de-344 creasing values from upstream, e.g., 12.7 wt% in the To Lich River, to downstream with 9.7% 345 in the Nhue River and 5.9% in the Day River (Kruskal-Wallis test, p<0.0001, followed by 346 Conover-Iman test with p<0.0001) (Table 3). The high OM values observed in the To Lich 347 River, until 23.7% evidenced inputs of untreated wastewater, rich in organic matter, directly 348 to the river. The decreasing trend from upstream to downstream is consistent with the de-349 creasing urban pressure observed in the water basins, the increasing river width and the in-350 creasing water discharge (see section 2.1).

## 351 **3.3** Relationships between abundance of MP and sediment properties

352 The accumulation of MP in river sediments depends on certain factors, including their 353 sources, deposition rates, and environmental factors such as river morphology, fineness of 354 sediments, amounts of organic debris, etc. (Corcoran et al., 2020; Mendes et al., 2021). The 355 Pearson correlation analysis showed a significant and positive correlation between MP abun-356 dance and sediment properties such as OM (R = 0.7; p < 0.001) and sediment median grain 357 size (R = 0.27; p< 0.05) (Table 4). High level of organic matter in sediment is probably due to 358 the elevated total organic carbon found in the water column of the Tolich, the Nhue and the Day rivers (10.7 - 48. 5 mg  $L^{-1}$ ; 3.7 - 18.3 and 12.5 - 26 mg  $L^{-1}$ , respectively) (Kikuchi et al., 359 360 2009; Trinh et al., 2013). Increased OM, consequent eutrophication, and increased heavy 361 metals from untreated wastewater input along the rivers are well documented in previous

362 studies (Trinh et al., 2013; Luu et al., 2020). As a transport agent in the aquatic system, OM 363 could interact with and absorb on the surface of microplastic creating different forms of ag-364 gregations with a higher density that could be transported as distinct particles. A high concen-365 tration of OM in the water could make MP collide and speed up MP aggregation and 366 biofouling (Li et al., 2020a; Luo et al., 2022). MP particles would probably incorporate and 367 integrate into the aggregated matter, along with pieces of other OM, before accumulating in 368 sediment (Rillig, 2018). Sediments with high total organic carbon (TOC) can absorb more MP 369 from the environment than those with low TOC due to their high cohesive capacity of OM 370 (Green and Johnson, 2020). The accumulation of MP at the organic rich sediment sites (the 371 To Lich and the Nhue Rivers) was significantly higher than those at less organic rich sedi-372 ment sites (the Day River) (p < 0.001) (Figure 2 and Table 3), suggesting that a high abun-373 dance of MP in sediment is mainly related to high organic content. This leads to the presump-374 tion that organic matter is an important factor in the accumulation of MP (Wang et al., 2021) 375 and that high levels of organic matter could be the hotspots for MP in aquatic sediments.

376 The link between sediment grain size and MP has been previously identified in several 377 field studies (e.g., Enders et al., 2019; Corcoran et al., 2020; Vermeiren et al., 2021; Dhivert 378 et al., 2022). The current study observed a correlation between median grain size and the 379 abundance of MP. The sediment types with the highest abundance across three rivers consist-380 ed of 85.9% silt (fine silt to coarse silt;  $< 63 \mu m$ ). Sediment samples from the three rivers exhibited a high level of MP (from 1,600 items kg<sup>-1</sup>dw to 94,300 items kg<sup>-1</sup> dw), but the 381 382 quantity of MP varied among different sediment grain sizes. The abundance of MP was posi-383 tively correlated with coarse silt (R = 0.25, p < 0.05) but negatively correlated with the pro-384 portion of fine silt and clay (R = -0.25, p < 0.05 and R = -0.32, p < 0.01) (Table 4). Although 385 a clear correlation between sediment grain size and the abundance of MP was evident and 386 noted in several studies, such relationships were not always consistent. For example, a num387 ber of studies reported that, in sediment samples with smaller grain sizes such as clay or silt 388 fractions (< 63 µm), a greater abundance of MP was observed (Corcoran et al., 2020; Enders 389 et al., 2019; He et al., 2020). In contrast, it was found in both Peng et al. (2017) and Amrutha 390 et al. (2023) that the sediment grain size was not significantly correlated with MP concentra-391 tions. This is likely due to a lack of comprehensive data sets on the interaction between trans-392 port and accumulation of MP and other multiple potential influence factors such as hydrody-393 namic conditions (He et al., 2020). Further studies are needed to provide a detailed under-394 standing of MP accumulation in sediments, combined with different potential factors such as 395 sediment particle types, and flow conditions.

## 396 3.4 Relationships between abundance of MP and catchment

397 In order to identify the relationship between the abundance of MP in sediment and influen-398 tial factors, a Principal Component Analysis (PCA) and Pearson correlation analyses were 399 conducted (Figure 5 and Table 4). The variables in the data matrix for the PCA are based on 400 the concentration of MP, sediment properties (grain size, OM) and catchment characteristics 401 (area of catchment; population; population density; land use for agriculture; specially used; 402 land use for residents). As defined by axes 1 and 2, representing around 70.9 % of the total 403 variance, and two zones that are clearly individualized, the To Lich River and upstream of the 404 Nhue River sites located in the right part of PCA and the Day and the Nhue downstream sites 405 positioned in the left part of the PCA. A high abundance of microplastics, population, and 406 resident areas can explain these sites. High agricultural land use explains the Day and Nhue 407 downstream sites positioned in the right part of the PCA. Population density and urbanization 408 are often associated with the intensity of human activities and socio-economic development, 409 both supposedly linking MP occurrence in aquatic ecosystems. Based on the Pearson analysis, 410 MP abundance has a significant positive correlation with population (R = 0.38; p < 0.01); 411 urban population/rural population (R = 0.72; p < 0.001); land use for resident and specially 412 used land, respectively (R = 0.53; p < 0.001); (R = 0.48; p < 0.001), which has a significant 413 negative correlation with area (R = -0.52; p < 0.01); and land use for agriculture (R = 0.5; p <414 0.001 (Table 5). The results of the present study highlight that the abundance of MP increased 415 in areas with higher population density as well as in highly residential areas.

416 Compared to the Day River, the To Lich and the Nhue Tivers have more urbanized area 417 and populous catchments, the urbanized sites (TLR1, TLR2, TLR3) contaminated more MP 418 than the suburban sites in the downstream course (DR1, DR2, DR3, DR4 and DR5) (Kruskal-419 Wallis test, p < 0.0001), suggesting that high population and increased urbanization in an area 420 could significantly strengthen the potential for MP outgassing. Our results are consistent with 421 recent research showing that the MP level was 65% higher in the more urban sites compared 422 to the more rural section of the Tame River, UK (Tibbetts et al., 2018). Similar studies have 423 reported a general trend toward higher microplastic pollution levels in more urbanized and 424 intensive anthropogenic activity zones (Townsend et al., 2019). Although agricultural input is 425 an essential source of MP pollution, the lower level of MP observed in areas with high agri-426 cultural land use in the Day River (DR1 - DR5) suggested that agricultural land use may have 427 less impact on MP distribution compared to urban areas (the To Lich and the Nhue River 428 sites), which has also been discussed in other studies. Recent studies highlighted that signifi-429 cant diffrefences in microplastic levels in sediment samples collected from different land uses 430 of the Arvand River in Iran were found and the abundance of MP followed a decreasing or-431 der: urban > industrial > agricultural > and natural areas (Soltani et al., 2022). Using a multi-432 plicative linear regression and Bayesian Network modelling approach, MP were found to cor-433 relate significantly with land use and population (Tibbetts et al., 2018; He et al., 2020). How-434 ever, in their study on the distribution pattern of MP in Brisbane River sediment, He et al. 435 (2020) implied that population and land use have a relatively greater impact on MP types 436 rather than MP concentration.

### 437 **3.5** Potential ecological risk in urban and suburban rivers

438 MP could cause multifaceted ecological risks in aquatic and terrestrial environments. To 439 comprehensively reduce the risk of MP pollution and develop control measures, ecological 440 risk assessment of MP is an important scientific basis. The Pollution load index (PLI) indi-441 cates the level of MP pollution in surface sediments, which is based on the ratio between the 442 measured concentration of MP and the background value. The PLI values for MP determined 443 at the To Lich - Nhue and Day Rivers were classified as hazard level II, meaning that the de-444 gree of MP contamination in sediment was at a moderate pollution load (Table 2S). The PLI 445 results showed that the degree of pollution caused by MP was higher in the To Lich and Nhue 446 Rivers compared to the Day River during the investigation. The main reason for this was the 447 MP' sources and the significant anthropogenic activities in the studied area. Terrestrial input 448 of microplastic particles into rivers may occur due to effluent discharge from municipal 449 wastewater, runoff from urban, agricultural, recreational and industrial areas. Previous studies 450 have indicated that the To Lich and Nhue rivers are strongly impacted by untreated wastewa-451 ter disposal waste and urban runoff from the country's capital of Hanoi (Nguyen et al., 2019; 452 Duong et al., 2022). It has been noted that approximately 95% of untreated wastewater effluents (approximately 450,000 m<sup>3</sup> per day) from many sources (domestic, hospital waste, and 453 454 industrial waste) are discharged into urban rivers (Hoai et al., 2010), including the ones stud-455 ied (e.g., Nhue River, To Lich River). The entry of large amounts of untreated wastewater 456 from urbanized, residential and recreational areas along the rivers which increased in OM, 457 heavy metals, other pollutants, and the consequent eutrophication have been well documented 458 in the studied sites. The fact that the number of MP in the three studied rivers presented high 459 concentrations at all sampling sites confirms that the direct discharge of untreated wastewater 460 from various sources from the city can be accredited as the potential primary origin of MP in 461 these rivers. There are other MP sources of MP in the surrounding area of the rivers in this

462 study. According to Suzuki et al. (2022), generated MP are released into the aquatic environment  $(2.4 \times 10^9 - 2.8 \times 10^{12} \text{ MP} \text{ particulates per year and } 0.014 \text{ to } 5.8 \text{ tonnes per year) during}$ 463 464 mechanical recycling of plastic wastes from traditional villages located along the Nhue-Day 465 basin. Furthermore, the results of µFTIR showed PE and PP were the most common polymer 466 types found in all sediment samples. Such polymers most likely originate from single-use 467 packaging materials (e.g., grocery bags, food packaging, bottles, straws, containers, cups, 468 cutlery, etc.), textiles (e.g., clothes, carpets, rope and nets) and personal care products, and 469 therefore may end up as discharge in sewage outlets. The PLI approach has been accepted and 470 used in numerous studies to evaluate the pollution load of MP in sediments (Peng et al., 471 2018). However, the PLI risk level is usually incomparable among different studies due to the 472 difference in background concentration values for calculation. In addition, the PLI is based 473 only on the microplastic concentration without consideration of different MP characteristics 474 (polymer types, colors, sizes and shapes). A high-risk degree of MP pollution in sediment 475 would be highly dependent on the high toxicity of the polymer.

476 The potential ecological risk index (RI) is utilized to evaluate the level of MP contamina-477 tion in the sediment. This index has not only considered MP concentration but also the toxici-478 ty of polymer types. The toxicity level and toxic effects of different MP polymers vary ac-479 cording to their chemical properties and compositions. Regarding the risk levels based on RI 480 values in sediments of the Day and To Lich and Nhue Rivers, they were categorized into two 481 levels: danger (RI: 600 - 1200), and extreme danger (RI > 1200), respectively (Table 2S). 482 Despite the preponderance of PP and PE polymers with low hazard scores, which were found 483 in the sediment from the rivers in this study, the presence of strong toxic such as PS, PU or 484 PVC had an impact on the risk assessment of MP. Overall, MP in freshwater sediments from 485 the To Lich, Nhue and Day Rivers exhibited a medium pollution load and danger, reaching an 486 extreme ecological risk level. Reducing, reusing, recycling, and treating plastic waste requires

487 a feasible solution in order to manage and prevent the increase of MP pollution loads in 488 freshwater sediments from collected study areas. Finally, additionally to the MP concentration 489 level, the potential ecological risk of MP should take into account their ability to act as a vec-490 tor of organic pollutants, metal contaminants, and microbial pathogens into organisms (He at 491 al., 2020; Wootton et al., 2021). An ecological risk assessment indicator taking into account 492 all those factors should be established to better characterize the impacts of MPs on riverine 493 biodiversity.

#### 494 **Conclusion**

495 High MP abundance was observed in the surface sediments from the To Lich-Nhue-496 Day Rivers. The results clearly showed a decreasing trend of MP abundance from the dense 497 urban areas toward the suburban zone areas. MP exhibited a significant positive and negative correlation with population and land use. MP and organic matter were positively correlated, 498 499 suggesting that organic matter can play a significant role in MP trapping and retention in 500 sediment. This finding can be relevant for urban rivers of developing cities or of developing 501 countries from Vietnam and worldwide as the OM content is often higher due to direct 502 wastewater released in the rivers. In the future, the effect of sediment characteristics on the 503 fate and transport of MP should be further investigated especially on the point/non- point 504 sources of MP, on particles interactions during their settling, and their impacts on ecosystems.

505 **Conflict of interest statement** 

506 The authors declare that the research was conducted in the absence of any commercial or fi-507 nancial relationships that could be construed as a potential conflict of interest.

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514

# 515 Author contributions

516 The investigation, software, writing - original draft, writing - review & editing: Thi Thuy 517 Duong, Thi Phuong Quynh Le and Emilie Strady; Investigation, software, writing - original 518 draft: Thuy Duong Nguyen, Ngoc Nam Phuong, Thi Oanh Doan, Van Huong Nguyen, Cao 519 Thanh Nga, Thi Anh Nguyet Nguyen, Cao Thanh Nga, Thi Minh Hanh Pham, Thu Huong Thi 520 Hoang and Ha My Ngo; Data curation, conceptualization, methodology: Dinh Thai Nguyen, 521 Johnny Gasperi, and Ha Manh Bui; Funding acquisition, supervision, conceived, designed the 522 methodology, writing - review & editing: Thi Thuy Duong.

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776 777	TABLE CAPTIONS
778	Table 1: Polymer composition (MP numbe and percentage (%) of each polymer type) in sur-
779	face sediment collected from the To Lich, Nhue, and the Day rivers
780	Table 2: Comparison of MPs concentration in sediment from different rivers worldwide
781	Table 3: Organic matter, grain size cumulative weight (%) and parameters (geometric mean -
782	mm) in sediments collected from the urban and suburban rivers (the To Lich, the Nhue, and
783	the Day rivers; $n = 18$ , 24 and 30, respectively)
784	Table 4: Pearson correlations between Microplastic abundance, sediment properties (organic
785	matter content - OM; medium grain size of sediment - Sed.size), weight percentage of sand,
786	silt, clay and characteristics (Area; population - Popul; population density - Pop.Den; land use
787	for agriculture - Agri; specially used land - Spec; land use for resident - Resid; Ur/ Rur- Ur-
788	ban/Rural) in sediment collected from the To Lich, Nhue and Day rivers

**Table 1:** Polymer composition (MP numbe and percentage (%) of each polymer type) in surface sediment collected from the To Lich, Nhue, and the Day rivers

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Polymers Number items **Proportion** (%) Polyethylene (PE) 708 32.2 Polyester 127 5.78 54.69 Polypropylene (PP) 1,201 Polystyrene (PS) 90 4.10 27 Polyuethane (PU) 1.23 Polyvinyl acetat (PVAC) 0.05 1 Vinyl 1 0.05 Polyvinyl chloride (PCV) 34 1.55 Alkyd 3 0.14 Polyamide (PA) 3 0.14 Polyacrylonitrile (PAN) 0.05 1

# **Table 2:** Comparison of MPs concentration in sediment from different rivers worldwide

Countries	Ecosystems	MP abun- dance (Items. Kg <sup>-1</sup> dry weight)	Size range dominant (mm)	Observation range (mm)	References
South Africa	Bloukrans River	563	-	0.063 - 5	Nel et al., 2018
Poland	Vistula River	580	0.3 - 0.75	0.055 - 5	Sekudewicz et al., 2021
Gemany	Rhine	3,763	0.063 - 0.2	0.063 - 5	Klein et al. 2015
Indonesia	Ciwalengke River	30.3	1 - 2	0.05 - 2	Alam et al., 2019
India	Ganga River	12,325	Fragments	-	Nayal and Suthar., 2022
India	Brahmaputra River	3,485	0.02-0.15	0.15-5	Tsering et al., 2021
Bangladesh	Karnafully river	1,240	< 1	0.02 - 5	Hossain et al., 2022
China	Beijiang River	544	-	-	Wang et al., 2017
China	Urban rivers	802	0.1 - 0.5	-	Peng at al., 2018
China	Liangfeng river	33,200	0.050 - 0.5	0.050 - 5	Xia et al., 2021
China	Wen-Rui Tang River,	32,947	0.063-0.6	0.02-5	Wang et al., 2018b
China	Qin River	97	1 - 5	0.3 - 5	Zhang et al., 2020
China	Haihe River	11,917	0.1-1	0.05-5	Liu et al., 2021a
Vietnam	Phu Loc Chanel	6,120	0.3 - 2	0.3 - 5	Nguyen et al.,2022
Vietnam	To Lich	42,683	0.3 - 2	0.3 - 5	This study
Vietnam	Nhue	23,544	0.3 - 2	0.3 - 5	This study
Viet Nam	Day	16,350	0.3 - 2	0.3 - 5	This study

- **Table 3:** Organic matter, grain size cumulative weight (%) and parameters (geometric mean -
- 797 mm) in sediments collected from the urban and suburban rivers (the To Lich, the Nhue, and
- the Day rivers; n = 18, 24 and 30, respectively)

	Organic			. 1 ( (0( )		Paran	neters	
	Matter		Cumulative weight (%)			(geometric mean - µm)		
	(wt %)	Sand	Coarse Silt	Fine Silt	Clay	Maar	C	
	()	$> 63 \ \mu m$	15-63 µm	4-15 μm	$< 4 \ \mu m$	Mean	Sorting	
	To Lich	River						
Average	12.63	21.18	36.90	48.28	1.76	18.47	2.51	
Maximum	23.69	44.94	44.54	54.66	3.56	35.27	4.09	
Minimum	7.39	5.05	19.44	35.91	0.57	12.65	1.79	
SD	4.38	10.02	5.81	5.82	0.96	5.27	0.57	
	Nhue riv	er						
Average	9.72	13.41	26.63	58.13	6.88	14.03	2.41	
Maximum	17.74	41.91	47.22	73.64	17.64	38.70	4.36	
Minimum	4.88	0.93	15.93	30.82	0.18	7.76	1.57	
SD	3.76	9.88	10.57	10.73	5.51	6.90	0.64	
	Day Riv	er						
Average	5.93	12.76	29.21	58.05	6.41	12.88	2.26	
Maximum	16.26	31.25	47.20	75.96	17.55	19.83	2.91	
Minimum	2.09	0.45	8.84	35.84	1.33	6.90	1.68	
SD	2.78	6.87	9.14	8.37	4.03	3.16	0.31	

801	Table 4: Pearson correlations between Microplastic abundance, sediment properties (organic matter content - OM; medium grain size of
802	sediment - Sed.size), weight percentage of sand, silt, clay and characteristics (Area; population - Popul; population density - Pop.Den; land use
803	for agriculture - Agri; specially used land - Spec; land use for resident - Resid; Ur/ Rur- Urban/Rural) in sediment collected from the To Lich,
804	Nhue and Day rivers

	TMPs	OM	Sed siz	Sand	Fine Silt	Coarse silt	Clay	Area	Pop Den	Agri	Spec	Resid	Ur/Rur
TMPs	1												
OM	<b>0.7</b> <sup>***</sup>	1											
Sed Siz	$0.27^{*}$	0.02	1										
Sand	0.23	0.07	0.58	1									
Fine silt	-0.25*	0.01	0.93***	-0.87***	1								
Coarse silt	0.25*	-0.02	$0.7^{***}$	0.34**	-0.71***	1							
Clay	-0.32	-0.12	-0.8***	-0.55***	$0.66^{***}$	-0.78	1						
Area	-0.52	-0.3**	-0.38**	-0.25*	0.34	-0.36	0.39	1					
Pop Den	<b>0.49</b> ***	$0.28^{*}$	$0.35^{*}$	0.21	-0.32	0.41	-0.4	-0.76	1				
Agri	-0.5***	-0.27*	-0.22	-0.12	0.20	-0.26	0.24	0.74	-0.86	1			
Resid	0.53***	0.3*	$0.29^{*}$	0.17	-0.25	0.33	-0.36	-0.91	0.94	-0.88	0.85	1	
Urb/Rur	0.72***	0.58***	$0.45^{***}$	0.37**	-0.42	0.34	-0.43	-0.64	0.74	-0.6	0.05	0.66	1

Note: \*\*\* at the 0.01 level (2-tailed), correlation is significant; \*\*. at the 0.01 level (2-tailed), correlation is significant; \*. at the 0.05 level (2-tailed), correlation is significant.

808	FIGURE CAPTIONS
809	Figure 1: Sampling locations along the To Lich, Nhue and Day Rivers
810	Figure 2: Spatial and seasonal distribution of microplastic concentrations in surface sediment
811	collected in urban rivers (To Lich, Nhue Rivers) and suburban river (Day River) during dry
812	and rainy seasons
813	Figure 3: Mean proportion of microplastics shapes (fragment and fiber) observed in surface
814	sediment samples collected in the To Lich, Nhue and Day Rivers
815	Figure 4: Occurrence of microplastic concentrations according to their size, area for fragment
816	(a), length for fiber (b) in surface sediments collected from the To Lich River, Nhue and the
817	Day Rivers.
818	Figure 5: Principal component analysis (PCA) based on microplastic abundance, sediment
819	properties (organic matter content - OM; medium grain size of sediment - Sed.size), mass
820	percentage of sand, silt, clay and characteristics (Area; population - Popul; population density
821	- Pop.Den; land use for agriculture - Agri; specially used land - Spec; land use for resident -
822	Resid) in sediment collected from the To Lich, Nhue and Day rivers



Figure 2: Spatial and seasonal distribution of microplastic concentrations in surface sediment
 collected in urban rivers (To Lich, Nhue Rivers) and suburban river (Day River) during dry
 and rainy seasons



Figure 3: Mean proportion of microplastics shapes (fragment and fiber) observed in surface
sediment samples collected in the To Lich, Nhue and Day Rivers



Figure 4: Mean percentage of microplastic colors observed in surface sediment collected
from the To Lich River, Nhue and Day River





Figure 5: Occurrence of microplastic concentrations according to their size, length for fiber

Figure 6: Principal component analysis (PCA) based on microplastic abundance, sediment properties (organic matter content - OM; medium grain size of sediment - Sed.size), mass percentage of sand, silt, clay and characteristics (Area; population - Popul; population density - Pop.Den; land use for agriculture - Agri; specially used land - Spec; land use for resident -Resid) in sediment collected from the To Lich, Nhue and Day rivers



# **Supplemental Tables and Figure**

Table S 1: Total area, total population density in area and land use types in the To Lich, Nhue and Day Rivers 

River	Total area (km²)	Total population in area (10 <sup>6</sup> inhabitants.km <sup>-2</sup> )	Sites	Depth (m)	Population density (inhabitants.km <sup>-2</sup> )	Land use types
То			TLR1	0.55	24 078	Urban
IU Lich	77.5	1.6	TLR2	0.5	32 268	Urban
LICH			TLR3	0.7	4 497	Urban
	1,075	5.3	NR1	1.3	7 621	Urban
Nhuo			NR2	1.2	8 423	Urban
Innue			NR3	2.5	1 752	Urban
			NR4	0.5	1 841	suburban
			DR1	1.2	2 302	suburban
		22	DR2	1.3	8 423	Urban
Day	7,665		DR3	2.2	723	Rural
			DR4	2.3	1 841	Rural
			DR5	2.1	1 043	Rural

Table 2S: Pollution load index (PLI) and Potential Ecological Risk Index (RI) the sediment samples collected from the To Lich, Nhue and Day Rivers

-		PLI	Hazard category	RI	<b>Risk category</b>
	DR	6.52	Ι	866	Danger
	NR	10.53	II	1,829	Extreme danger
	TLR	17.3	II	4,771	Extreme danger





